

## (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau

(43) International Publication Date  
30 August 2001 (30.08.2001)

PCT

(10) International Publication Number  
WO 01/62136 A3

(51) International Patent Classification<sup>7</sup>: A61B 17/80

(21) International Application Number: PCT/US01/05874

(22) International Filing Date: 23 February 2001 (23.02.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/184,613 24 February 2000 (24.02.2000) US

(71) Applicant: STRYKER INSTRUMENTS [US/US]: 4100  
E. Milham, Kalamazoo, MI 49001 (US).

(72) Inventors: HENNIGES, Bruce, D.: 8214 N. 24th Street,  
Kalamazoo, MI 49004 (US). LONGO, Paul, T.: Apart-  
ment 2 B, 2246 East Cork Street, Kalamazoo, MI 49001  
(US). AHOLA, Jon, J.: Loretto Strasse 18, 79100 Freiburg

(DE). TYLER, Douglas, L., Sr.: 28584 58th Avenue, Paw  
Paw, MI 49079 (US). WASSERMAN, Mark, A.: 8300  
Pine Lake Road, Delton, MI 49046 (US). SALZBERGER,  
Mark, Thomas: 3034 St. Anthony Drive, Portage, MI  
49002 (US). TUNC, Deger, C.: 6 Springfield Road, East  
Brunswick, NJ 08816 (US). LANDERYOU, Robert, F.:  
4609 Cypress Creek, Kalamazoo, MI 49004 (US). DIA-  
MOND, Gregory, D.: 6602 East Eagle Lake Drive, Kala-  
mazoo, MI 49009 (US). FORST, Peter: Dahlienweg 16,  
79312 Emmendingen (DE).

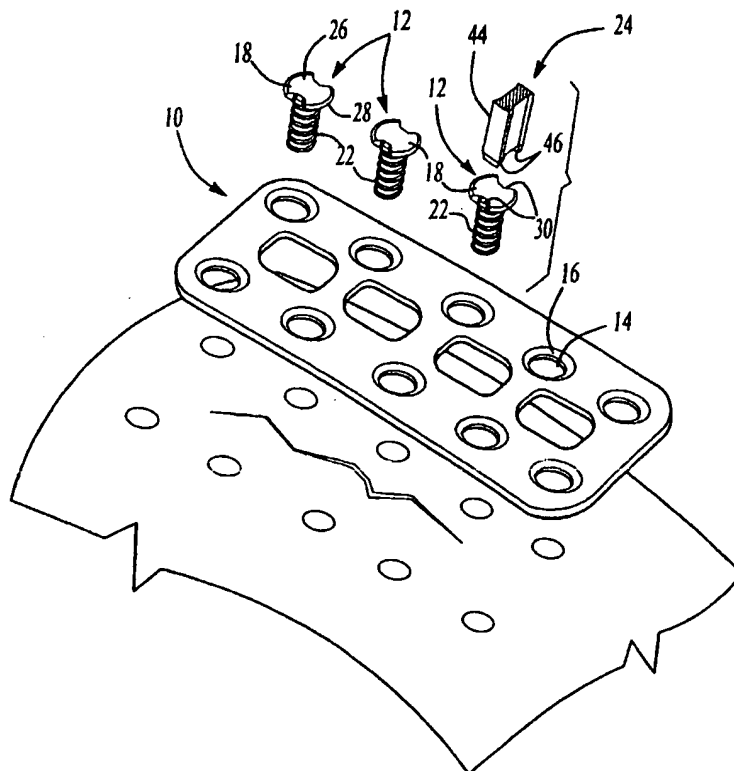
(74) Agents: HONAKER, William, H. et al.: Howard &  
Howard Attorneys, P.C., Suite 101, 39400 Woodward  
Avenue, Bloomfield Hills, MI 48304 (US).

(81) Designated State (national): JP.

(84) Designated States (regional): European patent (AT, BE,  
CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC,  
NL, PT, SE, TR).

[Continued on next page]

(54) Title: BIOABSORBABLE PLATES, FASTENERS, TOOLS AND METHOD OF USING SAME



(57) Abstract: The present invention is a system for installing bioabsorbable plates for general bone repair, as for example, facial or cranial osteosynthesis. The present invention includes an improved plate (10) and fastener (12) system which reduces if not eliminates stresses within the plate (10). It also includes an improved fastener container and driver (24) for handling and installing fasteners (12). The system employs a unique water bath for heating the bioabsorbable plates (10) so they can be deformed to the contours of the bone being repaired. Additionally, there is a hand tool (14) for localized heating and deformation of the plate (10) when positioned against the bone to further ensure conformity with the bone structure. These and other features are contained within a kit (220) which is easily sterilized.

WO 01/62136 A3

BEST AVAILABLE COPY

## WO 01/62136 A3



**Published:**

— with international search report

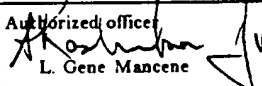
*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**(88) Date of publication of the international search report:**

21 February 2002

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US01/05874

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC(7) : A61B 17/80 US CL : 606/69 According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) U.S. : 606/69, 60 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EAST: bioabsorbable, absorbable, shape-memory, memory, plate		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,779,706 A (TSCHAKALOFF) 14 July 1998, Figs. 7A-C.	1-9
X	US 5,290,281 A (TSCHAKALOFF) 01 March 1994, Figs. 7A-C.	1-9
X	US 5,607,427 A (TSCHAKALOFF) 04 March 1997, Figs. 7A-C.	1-9
A	US 5,944,721 A (HUEBNER) 31 August 1999, Fig. 3.	1
A	US 5,968,046 A (CASTLEMAN) 19 October 1999, Fig. 1.	1
A	US 3,695,259 A (YOST) 03 October 1972, Figs. 1-4.	1
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "A" document member of the same patent family	
Date of the actual completion of the international search 10 JULY 2001		Date of mailing of the international search report 24 AUG 2001
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230		Authorized officer  L. Gene Mancene Telephone No. (703) 308-2696

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US01/05874

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 3,534,731 A (MULLER) 20 October 1970, Figs. 1-5.	1
A	US 4,512,038 A (ALEXANDER et al.) 23 April 1985, Figs. 1-4.	1
A	US 4,905,680 A (TUNC) 06 March 1990, all	1

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US01/05874

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-9

Remark on Protest

☐  
☐

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US01/05874

## BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be searched, the appropriate additional search fees must be paid.

- Group I, claim(s) 1-9, drawn to a bioabsorbable plate and fastener.
- Group II, claim(s) 10-15, drawn to a fastener for a bioabsorbable plate.
- Group III, claim(s) 16-25, drawn to a driver for inserting fasteners.
- Group IV, claims 26-35, drawn to a device for locally heating a portion of a bioabsorbable plate.
- Group V, claims 36-39, drawn to a bowl for containing heated fluid.
- Group VI, claims 40-45, drawn to a container for holding and delivering fasteners.
- Group VII, claims 46-48, drawn to a device for handling osteosynthesis plates.
- Group VIII, claims 49-54, drawn to a template.
- Group IX, claim 55, drawn to a drill bit tool.
- Group X, claim 56, drawn to a kit for delivering items related to surgically repairing a bone structure.
- Group XI, claims 57-62, drawn to a method for repairing broken bone.

The inventions listed as Groups I-XI do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: none of the specifics of any of the groups is required by any of the other groups.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
30 August 2001 (30.08.2001)

PCT

(10) International Publication Number  
**WO 01/62136 A2**

- (51) International Patent Classification<sup>7</sup>: **A61B** 49002 (US). TUNC, Deger, C.; 6 Springfield Road, East Brunswick, NJ 08816 (US). LANDERYOU, Robert, F.; 4609 Cypress Creek, Kalamazoo, MI 49004 (US). DIAMOND, Gregory, D.; 6602 East Eagle Lake Drive, Kalamazoo, MI 49009 (US). FORST, Peter; Dahlienweg 16, 79312 Emmendingen (DE).
- (21) International Application Number: PCT/US01/05874
- (22) International Filing Date: 23 February 2001 (23.02.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 60/184,613 24 February 2000 (24.02.2000) US
- (71) Applicant: STRYKER INSTRUMENTS [US/US]; 4100 E. Milham, Kalamazoo, MI 49001 (US).
- (72) Inventors: HENNIGES, Bruce, D.; 8214 N. 24th Street, Kalamazoo, MI 49004 (US). LONGO, Paul, T.; Apartment 2 B, 2246 East Cork Street, Kalamazoo, MI 49001 (US). AHOLA, Jon, J.; Loretto Strasse 18, 79100 Freiburg (DE). TYLER, Douglas, L., Sr.; 28584 58th Avenue, Paw Paw, MI 49079 (US). WASSERMAN, Mark, A.; 8300 Pine Lake Road, Delton, MI 49046 (US). SALZBERGER, Mark, Thomas; 3034 St. Anthony Drive, Portage, MI
- (74) Agents: HONAKER, William, H. et al.; Howard & Howard Attorneys, P.C., Suite 101, 39400 Woodward Avenue, Bloomfield Hills, MI 48304 (US).
- (81) Designated State (*national*): JP.
- (84) Designated States (*regional*): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).
- Published:**  
— without international search report and to be republished upon receipt of that report
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



WO 01/62136 A2

(54) Title: BIOABSORBABLE PLATES, FASTENERS, TOOLS AND METHOD OF USING SAME

(57) Abstract: The present invention is a system for installing bioabsorbable plates for general bone repair, as for example, facial or cranial osteosynthesis. The present invention includes an improved plate and fastener system which reduces if not eliminates stresses within the plate. It also includes an improved fastener container and driver for handling and installing fasteners. The system employs a unique water bath for heating the bioabsorbable plate so they can be deformed to the contours of the bone being repaired. Additionally, there is a hand tool for localized heating and deformation of the plate when positioned against the bone to further ensure conformity with the bone structure. These and other features are contained within a kit which is easily sterilized.

-1-

## BIOABSORBABLE PLATES, FASTENERS, TOOLS AND METHOD OF USING SAME

### BACKGROUND OF THE INVENTION

5       The use of bioabsorbable plates to repair bone fractures, particularly fractures to the craniofacial skeleton is known. In this procedure, a bioabsorbable plate is used to hold bone together to allow a fracture to heal. The plate is generally formed to the bone and held in place by screws. Bioabsorbable plates are normally rigid, become soft or malleable when heated, and return to being rigid when cooled. Typically, a  
10   bioabsorbable plate is heated in some manner to make it easily deformable so that the plate can be formed to the bone. Either before or after the plate is formed to the bone, a drill is used to drill holes into the bone. The drilled holes are then tapped and screws are positioned within openings in the plates and threaded into the tapped holes to hold the plates in position.

15       One problem with known systems for applying bioabsorbable plates is that the plate doesn't remain soft for long. Once it is heated, the surgeon must fairly quickly form the plate to the bone structure. If the surgeon doesn't get the plate properly formed before it cools, then the plate must be heated again and the forming process started over. As should be appreciated, this can become a very tedious process and result in loss of  
20   time during the surgical procedure. Additionally, the surgeon has no means for spot heating the plate to deform a specific spot or portion on the plate to better conform the plate to the bone.

      Another problem with known bioabsorbable plates is that the attached screws can create stresses within the plates. In the event that a screw is not threaded into a  
25   tapped hole in proper alignment, the screw may only contact the plate with point contact. In this event, the plate may crack or loosen due to the uneven force distribution. Obviously, this would be a problem, particularly if the problem is not discovered until after stitches have been applied.

      A problem with known bioabsorbable screws is there tendency to break due  
30   to their design. Although bioabsorbable screws are very strong, they can break off



-2-

while being threaded into the tapped hole or when being tightened. Once broken, the screw generally must be drilled out of the bone, a new larger hole drilled and retapped and a larger screw threaded into the tapped hole. Again, this is a tedious process and one which creates difficulties during an operation.

5           Another problem with known bioabsorbable systems are the techniques for heating the bioabsorbable plates. The most common method for heating the bioabsorbable plates is to place them in a hot water bath which sufficiently heats the plate for it to be deformable. Because the hot water bath has to be within the sterile field of the surgery room, it must be capable of being sterilized. Typically, the water  
10 bath amounts to a stand draped with a cloth and a sterilized bowl to contain hot water. The surgeon can then dip the bioabsorbable plates into the hot water and conform them to the bone surface.

          Another problem with known surgical systems to fasten bioabsorbable plates to bone structure is the complicated system used to match a request by a surgeon for  
15 a particular plate with the actual template. Typically, extensively long alpha numeric codes are used which result in the possibility of confusion between the request by the doctor and the filling of that request by an attendant.

### SUMMARY OF THE INVENTION

20           The present invention overcomes the above problems by providing a bioabsorbable plate that has a first thermo-chemical solid state which is rigid and a second thermo-chemical solid state which is deformable so that it can be easily formed to the bone. The plate includes a plurality of at least two openings for receiving a fastener with a relief area surrounding each of the openings to allow the fastener to seat  
25 within the bioabsorbable plate so that no part of the fastener extends above the surface of the plate when the fastener is applied. The relief area presents a generally concave surface surrounding each of the openings. The relief area provides a stable contact surface for the fastener. This reduces tensile stresses in the plate and allows proper seating of the fastener within the relief area when the fastener is inserted either properly  
30 or at an angle that is not perpendicular to the plate.

-3-

The fastener of the present invention includes a head and shank portion. The head contacts the bioabsorbable plate to hold the bioabsorbable plate against the bone surface to be repaired. The shank portion extends from the head portion and includes threads for providing threaded engagement with the bone surface being repaired. The head portion includes at least one recess for insertion of a driver to allow the fastener to be threaded into the bone surface being repaired. This head portion includes a bottom surface presenting a generally convex contour for contacting a concave relief within the bioabsorbable plate. This convex contour mating with the concave relief ensures that the screw head will have at least line contact with the plate and preferably surface contact. This enhances the fastening of the plate to bone and reduces if not eliminates the problem of tensile stresses in the plate due to misaligned screws.

In the disclosed embodiment of the fastener of the present invention, the head portion includes a pair of notches. The notches include a pair of side walls and a rear wall. The notches are oriented 180 degrees apart about the circumference of the head portion of the fastener. The notches are sized to receive features of the driver, and the side walls providing a contact surface for the driver to thread the fastener into place. The positioning of the side walls distributes forces applied to the screw through the head as compressive forces because the side walls are not through the centerline of the fastener. The side walls for each of the notches fall on lines that cross at a point outside the centerline of the head portion, thereby concentrating the forces exerted onto the head portion into compressive stresses within the head portion to reduce failure.

The present invention also includes a driver for threading the fasteners into bone. The driver includes an operative end for engagement with a fastener, a handle portion opposite the operative end for allowing manual manipulation of the driver to insert the fastener, and a clutch mechanism interconnecting the handle portion and the operative end for limiting the amount of torque that can be transferred. The handle portion includes a switch for selectively activating the clutch mechanism. The operative end includes a pair of fingers, each of the fingers including side walls and an internal wall. The side walls are spaced to fit within notches in the head portion of the fastener. The fingers have a thickness that is less than the depth of the notches on the fastener

-4-

to allow the fingers to fit completely within the circumference of the head portion of the fastener to prevent interference with the bioabsorbable plate when the fastener is applied using the driver.

Another advantage of the present invention is the use of a unique portable bowl  
5 for containing a volume of heated fluid. The bowl includes a base, a reservoir for holding a volume of heated fluid, and a heating element disposed within the base for heating the fluid within the reservoir. A controller for controlling the heating element and maintaining the temperature of the heated fluid within the reservoir is located at a remote location from the bowl. In this way, the bowl can be easily sterilized without  
10 having to sterilize the more difficult to sterilize controller. The portable bowl includes a retractable cover assembly for keeping the heated fluid within the bowl and to assist in keeping the fluid at a pre-determined temperature. The cover assembly includes features for holding tools to allow the tools to extend into the heated fluid within the portable bowl.

15 In addition to the portable bowl, the present invention includes an apparatus for applying a heated fluid to a localized portion of a bioabsorbable plate. The device includes a front end with a tip, a rear end, and a shaft portion interconnecting the front end and the rear end. A cavity is disposed on the tip to hold a droplet of the heated fluid to the tip when the tip is dipped within the heated fluid or to draw heated water into the  
20 cavity to be dispensed when desired. The tool allows a surgeon to heat the plate at a localized point without having to reheat the entire plate. The present invention also includes a dispenser for holding and delivering fasteners to a point of use. The dispenser includes a tray for holding a plurality of fasteners, a lid mounted rotatably onto the tray for keeping the fasteners contained until used, and an opening to allow the  
25 fasteners to be removed from the tray. The tray has a plurality of apertures spaced radially about the tray. Each aperture is adapted to removably hold one fastener in vertical orientation. The lid includes a first opening and a second opening spaced to fall directly above the apertures when the lid is rotated and adapted to allow an operable end of a driver to be inserted into the tray to engage and remove the fastener from one of the  
30 apertures. There are a plurality of detents spaced radially around the outer

-5-

circumference of the tray, the lid includes at least one indent for receiving one of the plurality of detents, so that the lid can be rotated between a plurality of radial positions.

The detents are spaced such that as the lid rotates, the pair of openings within the lid move from a first position wherein the first opening is directly over one of the apertures and the second opening is positioned between two of the apertures, a second position  
5 where both the first and second openings are located directly above one of the apertures, and a third position wherein both the first and second openings are located between two of the apertures.

The invention further includes a device for handling bioabsorbable plates. The  
10 device includes a pair of flexible elongated arms in adjacent lengthwise relationship with one another, each arm having a first end and a second end. The first ends of the arms are secured to one another, with the second ends of the arms being disposed at a distance from one another. A generally flat protruding portion is attached to the second end of each of the arms, the protruding portions of each arm being oriented parallel to  
15 one another. This device allows the plates to be held without damage to the plates.

To assist in forming the plates to the contour of the bone surface, a template for capturing the contour of a bone structure to be repaired is provided. The template allows the plate to be pre-contoured, wherein the template presents a two-dimensional shape that roughly corresponds to the two-dimensional shape of the plate that is to be  
20 contoured. In the preferred embodiment, the template has indents that correspond to the locations of fastener openings within the plate. In the most preferred embodiment, the template is made from a malleable material which is autoclavable to allow the template to be sterilized and re-used. In another embodiment, the template is a block having a top and bottom surface, and including a plurality of through holes extending between  
25 the top and bottom surfaces. A plurality of pins are disposed within the holes. A locking device locks the pins within the through holes to prevent the pins from sliding therein. The position of the pins are changed when pressed against the bone structure to give a three dimensional view of the bone contour. The pins can then be locked in place and the plate deformed to the contour of the pins.

All of these items are preferably contained within a kit that can be easily sterilized and taken to the sterile surgical field. Additionally, the present invention includes the method of using the above items to fasten fractured bone pieces together.

5 Still further, the present invention includes a unique coding system to simplify the surgical procedure.

### BRIEF DESCRIPTION OF THE DRAWINGS

Other advantages of the present invention will be readily appreciated, as the same becomes better understood by reference to the following detailed description when  
10 considered in connection with the accompanying drawings wherein:

Figure 1 is a perspective view of the bioabsorbable plate and fasteners of the present invention;

Figures 2 through 5 are alternatively shaped bioabsorbable plates of the present invention;

15 Figure 6A is a perspective view of the fastener of the present invention;

Figure 6B is a cutaway view of the fastener of the present invention;

Figure 7 is a side cut away view of the opening and relief area within the bioabsorbable plate;

Figure 8 is a cut away view of the opening within the bioasborbable plate and  
20 fastener;

Figure 9 is a perspective view of the fastener of the present invention;

Figure 10 is a cutaway view of the plate, fastener and driver of the present invention;

Figure 11A is a perspective view of the driver of the present invention;

25 Figure 11B is a perspective view of the drill bit tool of the present invention;

Figure 12 is a perspective view of the end of the driver of the present invention;

Figure 13 is an end view of the driver of the present invention;

Figure 14 is a view of the fastener attached to the driver;

Figure 15 is a perspective view of a pair of forceps of the present invention;

30 Figure 15A is a top view of the container top;

-7-

Figure 15B is a side view of the container top;

Figure 16A is a top view of the base;

Figure 16B is a side view of the base;

Figure 17 is a perspective view of a sterile bowl of the present invention;

5      Figure 18 is a perspective view of the lid of the bowl;

Figure 19 is a perspective view of a pen device of the present invention;

Figure 20 is an exploded perspective view of a further embodiment of the pen  
of the present invention;

Figure 21A is a cutaway view of the pen of figure 20;

10      Figure 21B is a further cutaway view of the pen of figure 20;

Figure 22 is a side view of the pen empty;

Figure 23 is a side view of the pen holding heated fluid;

Figure 24 is a side view of the pen with the side bulbs squeezed lightly and a  
droplet of water being applied to a bioabsorbable plate;

15      Figure 25 is a perspective view of a template and plate;

Figure 26 is a partial perspective exploded view of the driver of the present  
invention;

Figure 27 is a partial cutaway side view of the driver clutch of the present  
invention in the direct drive position;

20      Figure 28 is a view taken along line 28-28 of figure 27;

Figure 29 is a partial cutaway side view of the driver clutch of the present  
invention in the clutch drive position;

Figure 30 is a view taken along line 30-30 in figure 29;

Figure 31 is a perspective view of the kit of the present invention;

25      Figure 32 is a partial cutaway perspective view of an alternative template.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring to the Figures, wherein like numerals indicate like or corresponding parts throughout the several views, Figure 1 shows a bioabsorbable osteosynthesis plate  
30 of the present invention at 10. Plates 10 are used to connect bone fragments, which are

-8-

broken away from each other and hold the bone fragments in place until completely healed. The plates 10 are made from a material, which absorbs into the body after a time so that once the bone fragments have healed in their respective positions a further surgical process is not necessary to go in and remove the plates 10. In the preferred  
5 embodiment, the plates are made of 85% Poly L-Lactide, 5% poly D-Lactide and 10% Glycolide. Referring to Figures 1 through 5, various examples of the plates 10 are illustrated in different shapes and sizes, which lend themselves to use in specific regions of the head or face or body. It will be appreciated by those of ordinary skill in the art that numerous other shapes could be used. Due to the contours of the human head and  
10 face, it is necessary for the plates 10 to be molded or bent to match the specific contour of the bones to which the plates 10 are being applied. Rather than bend the plates 10, thereby creating stress points within the plate 10 and less than perfect contour match-ups, the plates 10 are made from a material which when heated to a certain temperature will allow the plates 10 to be plastically deformed.

15 The plates 10 have two thermo-chemical solid states. The plate 10 has a first thermo-chemical solid state which is rigid, and a second thermo-chemical solid state which, although still solid, is moldable. The plate 10 will remain at the first thermo-chemical solid state at room temperature and at any temperature below a glass transition temperature. In the preferred embodiment, the plate 10 will remain in the first thermo-  
20 chemical solid state until the plate 10 is heated to a temperature in excess of approximately 140 degrees Fahrenheit, or roughly 60 degrees Celsius. When the plate 10 is heated to a temperature exceeding the glass transition temperature, the plate 10 transforms to a second thermo-chemical solid state in which the plate 10 is deformable. In this state, the plate 10 can be molded to a different contour than the plate 10  
25 originally presented. The second thermo-chemical state is still solid, however the plate 10 is sufficiently deformable in the second thermo-chemical state to allow the plate 10 to be molded to a shape more in line with the surface to which the plate 10 will be attached.

After the plate 10 is molded to the shape desired, the plate 10 is allowed to cool  
30 below the glass transition temperature, thereby causing the plate 10 to transform back

to the first thermo-chemical state. In this state, the plate 10 will now rigidly hold the new shape to which the plate 10 has been molded. Now, the plate 10 will retain the shape that the plate 10 has been deformed to without displaying any weakening in the structure of the plate 10.

- 5           The plate 10 is held in place by fasteners 12. The fasteners 10 are generally screw like and are inserted through openings 14 within the plates 10 and threaded into holes drilled into the bone. The plate 10 has a relief area 16 around the openings 14. The plate 10 includes at least two openings 14 for receiving a fastener 12 to hold the plate 10 in place. A head portion 18 of the fastener 12 will fit within the relief area 16.
- 10   In this way, the head portion 18 of the fastener 12 will not protrude above the surface of the plate 10. This feature is important because any protrusions from the plate 10 may be visible through the skin of a patient when the plates 10 are used to repair bone structure.

- An additional feature of the present invention is that the relief area 16 around
- 15   the openings 14 within the plates 10 has a concave curved contour. See figures 7 and 8. This feature allows the fasteners 12 to seat more solidly within the relief area 16 and produces lower stress than traditional tapered holes. It is desirable that there is at least line contact entirely around the circumference of the opening 14 in the plate 10 between the head portion 18 of the fastener 12 and the plate 10. If the head portion 18 of the
- 20   fastener 12 is only contacting the plate 10 on one side of the relief area 16 then uneven stresses will occur within the plate 10. Uneven stresses make the plate 10 more likely to fail or the stresses can be transferred through the plate 10 to the underlying bone. Often, the fasteners 12 cannot be inserted perfectly perpendicular to the plate 10. The concave contour of the relief area 16 allows preferably surface contact, but at least line
- 25   contact, between the plate 10 and the fastener 12 around the entire circumference of the relief area 16 even when the fastener 12 is inserted at an angle not perfectly perpendicular to the plate 10.

- It is an additional feature of the present invention, to color code the plates 10 for easy identification during a surgical procedure. Currently there is no easy way to
- 30   identify the plates 10 in order to minimize confusion. The plates 10 can come in several



-10-

different sizes and shapes depending on the specific application. By manufacturing the plates 10 with a unique color associated with each unique shape and size, confusion will be minimized and time will be saved. The color-coding will allow a doctor or nurse to identify and request the specific plate 10 and to better locate and implant screws and plates in vivo.

5 Additionally, the package containing bioabsorbable plates 10 are marked with an identification mark, not shown. The mark allows the package with the plates 10 to be identified more precisely. The identification mark is preferably a simple designation indicating the size and shape of the desired plate 10 in a manner that will allow the nurse or doctor to easily read and recognize the identification mark and the corresponding mark on the package containing the plate 10.

10 Referring to Figures 6A through 10, an additional aspect of the present invention is an improved fastener 12 for attaching the plates 10 to the bone. The improved fastener 12 has a generally screw like shape and is made from bioabsorbable material such as the plates. The fastener 12 includes a threaded shaft portion 22 for engagement with a hole drilled into bone, and a head portion 18 for engagement with the relief area 16 around the opening 14 in the plate 10. Referring to Figures 6A, 8 and 9, specifically, the head portion 18 of the improved fastener 12 has a convex curved shape on a bottom surface for engagement with the concave curved portion of the relief area 16 around the opening 14 in the plate 10.

20 As described above, the curved surface of the relief area 16 and the head portion 18 provide for more effective engagement of the head portion 18 of the fastener 12 with the plate 10. Specifically, these features facilitate full circumferential contact between the bottom surface of the head portion 18 of the fastener 12 and the relief area 16 of the plate 10. Full surface contact around the entire circumference, even when the fastener 12 is inserted at an angle, which is not perpendicular to the plate 10, reduces the likelihood of concentrated stresses building within the plate 10 or being transferred to the underlying bone structure.

25 An additional feature of the improved fastener 12 is greater strength. The improved fastener 12 is designed to be structurally stronger than prior art fasteners, and

30

-11-

includes a head portion 18 which is designed for improved engagement with a driver 24. See figure 11. Referring to Figure 6A, specifically, the top surface 26 of the fastener head 18 is designed such that when the driver 24 is used to thread the fastener 12 into a drilled hole, the forces are concentrated at an outer diameter 28 of the head portion 18. The fastener 12 includes notches 30 on opposing sides at the outer diameter 28 of the head portion 18. These notches 30 accommodate the driver 24 for threading the fastener 12 in place. Additionally, the notches 30 are shaped so the contact surfaces of the fastener head 18 fall on a line 32, which does not run through the center of the fastener head 18. Specifically, the notches include side walls 34 and an inner wall 36.

10 The side walls 34 provide a contact surface for the driver 24 and fall on lines 32 that cross at a point outside the center line of the head 18 of the fastener 12. This design results in greater compressive stresses within the head 18, thereby reducing the risk of fracture during application.

In prior art designs the forces are concentrated along lines that go through the center of the fastener 12. This condition creates tensile forces within the fastener head 18 that tend to cause failure and separation from the shaft 22 of the fastener 12. This is a very big concern since when the head portion 18 breaks from the shaft 22, there is not an easy way to remove the broken shaft 22 from the bone structure. By diverting the forces away from the center of the fastener 12 and concentrating the forces along lines 32 that do not run through the center of the fastener head 18, compressive forces are created within the fastener head 18. The compressive stresses are less likely to cause failure within the fastener 12 thereby reducing the risk that the head portion 18 will break off when the fastener 12 is inserted.

Additionally, the design of the fastener head 18 is lower in profile than prior art designs. Prior art fasteners use a driver such as a philips or hex head screwdriver to insert the fasteners 12 and must have more material in the head portion of the fastener 12 in order to accommodate the hole needed for insertion of the screwdriver. The fastener 12 of the present invention does not have an intrusive hole for insertion of the driver 24 and therefore is much thinner in profile. When the low profile fastener 12 is inserted, there is less likelihood that the head portion 18 will protrude above the plate

-12-

10 thereby allowing the fastener head 18 to rest completely countersunk so it is not above the top surface of the plate 10.

Additionally, the depth of the notches 30 allows the features of the driver 24 that engage the head portion 18 of the fastener 12 to rest entirely within the diameter of the head portion 18. This reduces the risk of damaging the plate 10 when the fastener 12 is being threaded into place.

The fastener 12 can be any one of a plurality of sizes. In the preferred embodiment, the fastener 12 is one of three specific sizes. Preferably, the fastener 12 is either 1.7 millimeters, 2.2 millimeters and 2.6 millimeters. This dimension is a measurement of the diameter of the threads of the fastener 12. Fasteners 12 of either dimension have similar head portions 18, so either size is equally appropriate for use with any plate 10. Primarily the 1.7 millimeter fastener 12 is used in all applications.

The primary purpose for the 2.2 millimeter fastener 12 is as a repair fastener 12. If the hole within the bone structure becomes stripped of the threads, then a 1.7 millimeter fastener 12 will no longer thread securely into the stripped hole. When this happens, a larger hole can be drilled and tapped within the bone, the larger hole being appropriate to accommodate the larger 2.2 millimeter fastener 12. In the preferred embodiment, the different sized fasteners 12 are marked with biodegradable colored paint. Similar to the discussion above concerning color coding of the plates 10, color coding of the fasteners 12 will allow easy and quick identification of different fasteners 12, as well as making the fasteners 12 easier to see and to be able to find the plates 10 and fasteners 12 in the body during surgery.

Referring to Figure 6B, another aspect of the improved fastener 12 is that the head portion 18 includes features to facilitate mating engagement with the driver 24 for inserting the fasteners 12 into the drilled hole within the bone structure. The rear wall 36 of the notches 30 provides a gripping surface which frictionally engages with the driver 24, which will be described, in greater detail below. In figure 9, the gripping surface includes an optional protrusion 40, which can be used to facilitate the frictional interaction between the driver 24 and the fastener 12. The gripping surface of rear wall 36 allows the fastener 12 to be placed within the grasp of the driver 24 and held there

-13-

until the fastener 12 is installed. This surface of rear walls 36 is separate from the driving surfaces, which are defined by the side walls 34 of the notches 30. Unlike typical fasteners in which the gripping surface and driving surface are the same, in this system the two are separated which reduces stresses in the fastener 12 and permits independent fine-tuning of each surface.

Referring to figures 11-14, it is an additional object of the present invention to provide a driver 24 for inserting the fasteners 12 within the bone structure for fastening the plate 10 thereto. The driver 24 includes a grip 42, and an operative end 44 which includes fingers 46 for engagement with features on the fastener 12 to hold the fastener 12 to the driver 24 as the fastener 12 is brought into contact with the bone structure. The driver 24 has a generally screw-driver like appearance, however the operable end 44 of the driver 24 includes fingers 46 which are designed to fit within the notches 30 along the side of the head portion 18 of the fastener 12. The fingers 46 of the driver 24 are not long enough to protrude beyond the base of the head portion 18, and the thickness of the fingers 46 is less than the depth of the notches 30 so that the fingers 46 will not contact the plate 10 during insertion of the fastener 12.

Referring to Figure 14, the sides 50 of the fingers 46 are angled to match the angled side walls 34 of the notches 30 on the head portion 18 of the fastener 12. When the driver 24 is rotated, the forces are transferred to the contact surfaces within the head portion 18 to force the fastener 12 to thread into the hole. The fingers 46 can also include a recess 52 for receiving protrusion 40 on the fastener 12 to facilitate better frictional engagement between the fastener 12 and the fingers 46 of the driver 24.

Additionally, the driver 24 has a clutch mechanism 54 that is engaged at the discretion of the user. The clutch mechanism 54 limits the amount of torque that the driver 24 can transfer to the fastener 12 thereby preventing inadvertent over-tightening of the fastener 12, which can cause the fastener 12 to break. The driver 24 of the present invention provides a selective clutch that can be engaged or disengaged at the user's discretion. The driver 24 includes a switch 56 allowing the user to activate or deactivate the clutch mechanism 54.

-14-

With reference to figures 26 through 30, the clutch 54 of the preferred embodiment is illustrated. The clutch 54 includes switch 56 connect to a control ring 310. The control ring 310 is mounted so that it can slide back and forth within handle 15. The end 312 of ring 310 is open and receives the end 314 of drive control 316 when  
5 ring 310 is slid against the drive control 316. The drive control 316 is split to form two separate fingers 318, 320 which are somewhat flexible. A torque band 322 is provided to adjust the amount of torque applied by the driver 24. Torque is adjusted by sliding the band 322 along the fingers 318, 320. As the band 322 is moved closer to end 314, the torque applied increases. The torque band is preferably made of metal with the  
10 torque being preset at manufacture.

A drive blade 324 is mounted between fingers 318, 320. The drive blade 324 is operatively connected to the grip 42 through connector shaft 326 and to the shaft 372 of operable end 44.

In use, movement of switch 56 provides either direct drive or clutch drive  
15 operation. In direct drive, the torque applied to handle 15 is directly transferred to the operative end 44 of the driver. In clutch drive, the torque applied to handle 15 will cause slipping between the handle 15 and the operative end 44, if a predetermined torque is exceeded.

Direct drive is illustrated in figures 27 and 28. As illustrated, the control ring  
20 310 has been slid over the end 314 of drive control 316. This causes fingers 318, 320 to be pressed against blade 324 rotatably locking them together. In operation, the handle 15 is held and the user rotates grip 42 with his or her fingers to rotate the operative end 44. Any torque applied to grip 42 will be transmitted through locked fingers 318, 320 and directly to blade 324, which is connected to shaft 326. Shaft 326  
25 is directly connected through a chuck 370 to the shaft 372 of operable end 44, see figure 11A.

With reference to figure 29, the driver 24 is illustrated in the clutch drive mode. In this mode, the ring 310 is disengaged from end 314. The fingers 318 and 320 are urged against the blade 324 by the band 322 through the resiliency of fingers 318 and  
30 320. In the preferred embodiment, fingers 318 and 320 are made of metal, but due to

-15-

their relative thickness, they are slightly flexible. When torque is applied to grip 42 in the clutch drive mode, the arms 318, 320 continually press against blade 324 until a predetermined torque is exceeded. When the predetermined torque is exceeded, the fingers 318 and 320 spread open to allow blade 324 to slip between them. In this way, no more than a predetermined amount of torque can be applied to the operative end 44.

With reference to figure 15, a pair of improved forceps 58 are illustrated for handling the plates 10. Traditional forceps typically have small sharp features, which can damage the relatively soft plates 10. The forceps 58 of the present invention have generally flat protruding portions 60 for grasping the plates 10 which are large enough and blunt enough to prevent damaging the softened plates 10. Specifically, the forceps 58 are generally like a traditional set of forceps, however, the operable ends of the forceps 58, include large protruding portions 60 to gently grab the plates 10. It is important to avoid damaging the plates 10 when handling them, as dents within the plates 10 will cause stresses within the plates 10 that can affect the integrity of the plates 10.

More specifically, the forceps 58 include a pair of elongated arms 62 in adjacent lengthwise relationship with each other. Each arm 62 includes a first end 63 and second ends 64 and 66. The arms 62 are secured to each other at the first ends 63. The second ends 64 and 66 of arms 62 are disposed at a distance from each other. Each arm 62 includes a flat protruding portion 60 attached at the second end 64 and 66 and the protruding portions 60 are oriented parallel to each other. Each arm 62 further includes a handle portion 68 extending from the first end 63 to provide a gripping surface 71 for holding the forceps 58 and to squeeze the arms 62 toward each other to bring the faces 70 of flat protruding portions 60 into contact within the plate 10. A neck portion 72 extends between the handle portion 68 and the flat protruding portion 60 of each arm 62. In the preferred embodiment, the neck portion 72 extends from the handle portion 68 at an angle. The angle between the neck portion 72 and the handle portion 68 being less than 180 degrees.

Referring to Figures 15A, 15B, 16A and 16B, a container 76 is illustrating for the fasteners 12. The container 76 presents the fasteners 12 in a manner appropriate for

-16-

engagement with the driver 24, thereby eliminating any manual handling of the fasteners 12. Referring to Figures 16A and 16B, the container 76 includes a base 78 that is generally flat and includes a raised central portion 80. The raised central portion 80 includes a recess 82 located at the center. With reference to figures 15A and 15B, a lid 84 is mounted rotatably to the base 78. The lid 84 is generally bowl shaped and is sized to fit over the raised central portion 80 of the base 78. The lid 84 further includes an annular ridge portion 86 extending downward from the center of the lid 84. The recess 82 within the base 78 is adapted to receive and frictionally secure the annular ridge portion 86 of the lid 84, thereby rotatably securing the lid 84 to the base 78.

10       The base 78 further includes a plurality of raised detents 88 spaced radially around an outer perimeter of the raised central portion 80. The lid 84 includes a raised annular channel 92 extending around an outer perimeter 94 of the lid 84 such that the raised detents 88 on the base 78 are received within the raised annular channel 92 of the lid 84 when the lid 84 is secured to the base 78. The raised annular channel 92 does not  
15       extend about the entire circumference of the lid 84. Rather, the raised annular channel 92 of the lid 84 has a first end 96 and a second end 98 with a space 100 located between them. A semi-spherical recess 102 is located in the space 100 between the first and second ends 96, 98 of the raised annular channel 92. The semi-spherical recess 102 is shaped to receive one of the plurality of detents 88 spaced around the base 78 when the  
20       lid 84 is secured thereto.

      The base 78 also includes a plurality of apertures 104 spaced radially around the raised central portion 80 of the base 78 and located between the recess 82 at the center of the base 78 and the raised detents 88 spaced radially about the outer perimeter of the base 78. The apertures 104 are funnel shaped cavities adapted to receive a fastener 12  
25       therein. The funnel shape is preferred so the aperture 104 can accommodate the fastener 12 in a vertical orientation with the head portion 18 presented upward. The depth of the apertures 104 is sufficient to allow the fastener 12 to sit within the aperture 104 with the top surface 26 of the head portion 18 of the fastener 12 roughly level with the top surface of the raised central portion 80 of the base 78. When the lid 84 is  
30       secured to the base 78, the surface of the lid 84 is in close proximity to the top surface

-17-

of the raised central portion 80 of the base 78, thereby keeping the fasteners 12 from falling out of the apertures 104.

The lid 84 includes a pair of openings 106, 108 to allow the driver 24 to be inserted to engage and remove the fasteners 12 from the container 76. The openings  
5 106, 108 are spaced radially about the lid 84 between the center portion of the lid 84 and the raised annular channel 92 extending about the circumference of the lid 84. The openings 106, 108 are located to fall immediately above an aperture 104 when the lid 84 is rotated about the base 78. The openings 106, 108 are spaced from each other so that the lid 84 can be rotated to a position where each opening 106, 108 is immediately  
10 over an aperture 104 position. The openings 106, 108 are generally circular and are large enough to allow easy insertion of the driver 24 and removal of a fastener 12. The semi-spherical recess 102 located at the outer perimeter 94 of the lid 84 is located radially between the openings 106, 108.

Referring to Figure 15A, the lid 84 of the container 76 is rotated until at least  
15 one of the openings 106, 108 within the lid 84 is directly above a fastener 12 held within the container 76. The driver 24 is inserted within the opening 106, 108 until the fingers 46 engage the fastener 12. Once the fastener 12 is within the grip of the fingers 46, the driver 24 is withdrawn from the opening 106, 108 in the container lid 84. The fasteners 12 are held within the apertures 104 and suspended by the underside of the  
20 head portion 18 within the base 78 of the container 76 so that normal transportation of the container 76 will not jar the fasteners 12 out of aperture 104. The head portion 18 of the fastener 12 is trapped between the lid 84 and the aperture 104. However, the fasteners 12 are also held loosely enough to allow the grasping force of the driver 24 to pull the fastener 12 from the aperture 104 and extract the fastener 12 from the container  
25 76.

The base 78 includes a starting position detent 110 which is located between two of the otherwise equidistantly spaced detents 88 about the circumference of the base 78. The base 78 also includes a vacant area 112 along the top surface. The vacant area 112 is essentially a break in the radially spaced aperture positions. The starting position  
30 detent 110 is spaced radially in line with the vacant area 112. When the container 76



-18-

is shipped, fasteners 12 will reside in each of the apertures 104. The lid 84 will be secured to the base 78 with the starting detent 110 disposed within the semi-spherical recess 102 to hold the lid 84 in that position. When the lid 84 is in this starting position, the openings 106, 108 both fall over the vacant area 112, therefore, no fasteners 12 are exposed and all fasteners 12 are held in the apertures 104 by the lid 84. When the lid 84 is rotated the semi-spherical recess 102 will move from the starting detent 110 to a first of the equidistantly spaced detents 88. When the recess 102 reaches the first detent 88 and snaps into place, the person rotating the lid 84 will feel the lid 84 snap into place which will signal to that person to cease rotation of the lid 84. Without an external force, the semi-spherical recess 102 and the detents 88 will keep the lid 84 from rotating out of place.

Once the lid 84 has been rotated one position a first 106 of the two openings 106, 108 will have rotated to a position immediately over a first aperture 114 with a fastener 12 therein. A second 108 of the two openings 106, 108 is still over the vacant area 112. If the lid 84 is rotated one more position, the first opening 106 will move to a position between the first aperture 114 and a second aperture 116 while the second opening 108 will still remain over the vacant area 112. In this position, the openings 106, 108 are on either side of the first aperture position 114, and no apertures 104 or fasteners 12 are exposed. If the lid 84 is rotated one more position, then the first opening 106 will move over the second aperture 116, thereby exposing the fastener 12 therein and the second opening 108 will move over the first aperture 114 location. It should be understood that rotating the lid 84 one position can either be where the semi-spherical recess 102 is positioned between consecutive detents 88 on the base 78 or where the semi-spherical recess 102 is over a detent 88. In this way, the lid 84 can be rotated around the base 78 to expose either one or two fasteners 12 at a time, and allowing the lid 84 to be rotated to a position where the openings 106, 108 are located between apertures 104 so that no fasteners 12 are exposed.

The container 76 is preferably made from a plastic material, and is colored to contrast the color of the fasteners 12 that are held within. The contrasting color helps

-19-

a doctor or nurse to visibly see the fasteners 12 within the container 76 and will make it easier to retrieve the fasteners 12 using a driver 24.

A sterile bowl system 118 is illustrated in figure 17 for holding heated fluid 120.

The bowl 118 is portable and can be located nearby, within the immediate sterile area of the surgical procedure. The material that the plates 10 are made from transforms from a rigid solid state to a plastically deformable solid state when heated above a specific temperature. This feature allows the plates 10 to be molded to fit specific contours of the bone structure. It is important that the plates 10 match the contour of the bones, which are being repaired as closely as possible to insure that the bones heal correctly and to prevent features of the plates 10 from being visible through the skin of the patient when the procedure is complete. In practice, the plates 10 are taken to the point of use, and then heated to the deformable temperature so they can be molded to the exact contour required. The fluid 120 is preferably water, which improves the heat transfer to the plates 10.

The portable sterile bowl 118 includes a base 122 and a reservoir 124 for holding the heated fluid 120. The bowl 118 also includes a heating element, not shown, for heating and maintaining the fluid 120 at the appropriate temperature. In practice, the bowl 118 can be placed within the sterile confines of the surgical area to allow plates 10 to be dipped and molded during the procedure. Due to the characteristics of the plate 10 material, the plate 10 will transform to a plastically deformable state almost immediately upon being dipped within the heated fluid 120, and will cool down to the rigid non-deformable state very quickly after being removed from the heated fluid 120. The portable bowl 118 is small enough to be placed within the general area of the surgical procedure to allow the doctor to easily dip the plates 10 and make adjustments to the shape of the plates 10 throughout the procedure. The bowl 118 is large enough to allow the entire plate 10 to be dipped within the bowl 118 so the entire plate 10 can be shaped to the contour necessary.

In order to keep the sterile bowl 118 compact, the bowl 118 includes only the base 122, the reservoir 124, and a heating element for keeping the fluid 120 within the bowl 118 heated. A controller 128 for the bowl 118 is located away from the bowl 118

-20-

and outside of the immediate surgical area. The controller 128 for the bowl 118 communicates with the bowl 118 to monitor and maintain the temperature of the fluid 120 and allow the temperature setting to be adjusted manually or to be discretely set during manufacture. The bowl 118 is small enough to be placed within the immediate surgical area, therefore making it easier to maintain a sterile environment as the plates 10 are repeatedly dipped and shaped. The bowl 118 keeps the fluid at a constant temperature to insure efficient and convenient heating of the plates 10 throughout the procedure. Additionally, the bowl is easy to sterilize since the control component 128 does not have to be sterilized.

10 In the preferred embodiment, the bowl 118 is covered by a two-piece lid 130. See figure 18. The lid includes a first section 132 and a second section 134. The lid sections 132, 134 mate together at edges 136. The first lid section 132 has two recesses 138, which allow the user to place tools into these recesses 138 when they are not in use. The two sections 132, 134 also allow the bowl 118 to be fully closed, 15 partially open or fully open.

With reference to figure 19, the present invention also includes an apparatus or tool 140 for applying the heated fluid 120 to a localized portion of the plates 10 to heat the localized portion of the plate 10 to a temperature that will allow the plate 10 to be plastically deformed. The tool 140 includes a front end 142 with a tip portion 144, a rear end 146, a shaft portion 148 interconnecting the front 142 and rear 146 ends, and 20 a cavity 150 extending from the tip portion 144. The cavity 150 allows surface tension to create a vacuum to hold a droplet of heated fluid 120 to the tip 144 when the tool 140 is dipped within a volume of heated fluid 120. It should be understood that the tool 140 acts as a heat sink to hold heat for transfer to the plate 10. The fluid 120 at tip portion 25 144 acts as a bridge to transfer the heat from tool 140 to the plate 10.

The tip 144 can also include a roughened surface 152 surrounding the cavity 150 to assist in holding the droplet of water to the tip 144. In contrast to the bowl 118 as described above, the tool 140 is used to apply heated fluid 120 between the tip 144 of the tool 140 and a plate 10, i.e., the fluid creates a thermal bridge, to improve heat 30 transfer from the tip 144 to a localized portion of the plate 10. The tip 144 is preferably

-21-

made from a thermally conductive autoclavable metal that will heat up when dipped within the heated fluid 120. When the droplet of water is touched to the plate 10, the heat from the tip 144 is transferred to the plate 10 through the fluid 120. This is necessary when the plate 10 has already been partially secured in place, and further  
5 shaping of the plate 10 is necessary to conform the plate 10 to the finer details of the bone structure to which the plate 10 is fastened.

With reference to figure 20, an alternative and preferred tool 156 could include a passage 154 to allow heated fluid 120 to be drawn upward into the tool 156 until the operator wishes to present the fluid 120 to a plate 10. The alternative tool 156 also  
10 includes a front end 158 with a tip 160, a rear end 162, and a shaft portion 164 extending therebetween. The tool 156 includes a bulb 178 for drawing the heated fluid 120 into the tip 160 to allow the fluid 120 to be presented from the tip 160 in a controlled manner. The front end 158 includes a fluid channel 170 with a first end 172 at the tip 160 and a second end 174 at a position along the shaft portion 164. The fluid  
15 channel 170 extends between the first end 172 and the second end 174 to create a passage therebetween. The fluid channel 170 is operable to receive and hold the heated fluid 120 that is drawn up into the tool 156. The tool 156 can also include a feature 192 to allow the tool 156 to hang onto or within the bowl 118 until needed.

The tool 156 includes a sleeve 176 that extends radially around the housing 166  
20 with at least one compressible bulb 178 extending therefrom. The bulb 178 defines a cavity 180 between the bulb 178 and the housing 166. The housing 166 includes an opening 182 intersecting the cavity 180 and the fluid channel 170. The tool 156 further includes a pair of o-rings 184 for creating a seal between the housing 166 and the core element 168. The o-rings 184 are located along the core element 168 such that the  
25 cavity 180, the opening 182, and the second end 174 of the fluid passage 170 are all located between the o-rings 184. The cavity 180, the opening 182, and the fluid channel 170 define a variable volume chamber.

In operation, the bulb 178 is squeezed to reduce the volume of the variable volume chamber 180. The tip 160 of the tool 156 is dipped within a body of heated  
30 fluid 120 and the bulb 178 is released. When the bulb 178 is released the variable

-22-

volume chamber 186 expands and draws fluid 120 upward into the fluid channel 170.

The tool 156 can then be removed from the fluid 120 and when a droplet of fluid 120 is desired, the operator can gently squeeze the bulb 178 to dispense the fluid 120 in a controlled manner.

5           The tool 156 illustrated in figures 22 through 25 can also include a branch channel 188 extending perpendicular to the fluid channel 170. The branch channel 188 provides a path for air to enter the fluid channel 170 to break the suction between the fluid channel 170 and a drop of fluid 120 that has been dispensed at the tip 160. When the bulb 178 is released, the variable volume chamber 180 expands, and would normally  
10 draw the fluid 120 back up into the fluid channel 170. The branch channel 188 allows air to enter so the droplet of fluid 120 that is presented at the tip 160 will remain there held by surface tension when the bulb 178 is released. The core 164 of the tool 156 is preferably made from non-corrosive metal and the housing 166 is made from an autoclavable plastic. The tool 156 is designed such that the components can be taken  
15 apart and sterilized periodically.

Another optional feature of the alternative tool 156 is a heating element (not pictured). The heating element is mounted within the housing 166 of the tool 156 to provide heat directly to the core 164. In this way, the tool 156 can draw in a volume of fluid 120 and the fluid 120 is kept at an appropriate temperature while the fluid 120  
20 remains in the tool 156 to insure that the fluid 120 is still at the appropriate temperature when dispensed.

The tool 156 presents a droplet of the heated fluid 120 to an opening at the tip 160 of the tool 156. See figure 24. The tool 156 can then be brought within the proximity of the plate 10 and the droplet touched to the specific point upon the plate 10  
25 where further shaping is required. Once again, due to the efficient heat transfer characteristics of the fluid, the heat from the metallic core 164 of the tool 156 will transfer through the droplet of fluid 120 and almost immediately transform the localized area of the plate 10 to a plastically deformable state. The plate 10 can then be shaped to conform to the details of the bone contour and then very quickly cooled down to a  
30 rigid state again. The introduction of a droplet of fluid 120 insures full contact across

-23-

the surface of the plate 10, and results in more efficient heat transfer. The use of a solid heating element brought into contact with the plate 10 would not be as efficient, as different contours within the plate 10 would allow only point contact between the solid element and the plate 10. The alternative tool 156 contains a passive thermal mass,  
5 unlike traditional heating systems.

The present invention also provides a template 194 for pre-shaping the plates 10 prior to being applied to the bone structure. Referring to Figure 25, the template 194 is formed from a very ductile and non-corrosive metal and is generally made to coincide with the outer shape and size of corresponding plates 10. In practice, the template 194  
10 is placed onto the bone structure that is to be repaired, and molded to the contour of the bone structure. The template 194 is easily moldable, so it requires very little effort to form the template 194 to the contours of the bone structure. However, the template 194 is rigid enough to hold the contour shape after the template 194 is removed. When the template 194 is used, an appropriate plate 10 can be selected. The template 194 is  
15 shaped as required. The plate 10 and template 194 are then held at one end by tool 58 and dipped into the waterbath 124. See figure 25. The heated plate 10 can then be easily adjusted to take the shape of template 194. This allows the plate 10 to be shaped very closely to the bone structure that is to be repaired ahead of time thereby making less alterations necessary once the plate 10 is attached to the bone.

20 Additionally, the template 194 includes indents 196 along either side of the template 194. The indents 196 will indicate where the fasteners 12 will fall when a plate 10 is formed to the shape of the template 194. This feature allows the template 194 to be placed on the bone structure and moved to a position such that the fastener 12 positions will fall in appropriate locations. This assures that when the plate 10 is  
25 shaped to match the contour of the template 194, and subsequently placed to the bone structure, that the fastener 12 locations within the plate 10 will be positioned appropriately.

A still further feature of the invention is a unique coding system which streamlines use of the templates 194 and plates 10 and reduces, if not eliminates,  
30 confusion. As discussed, the templates 194 are reusable and facilitate the proper

-24-

contour of the bioabsorbable plates 10. Each template 194 will include a simplified marking 198, for example, 1.7-A, which will correspond to a specific plate 10. The plates 10 are typically kept outside of the sterile field and the surgeon will call out the simplified marking 198 to an assistant after the surgeon has decided upon the correct plate 10 by using the template 194. The assistant merely has to look for a box or container that has the corresponding simplified marking 198 and withdraw a sterilized plate 10 from the box and through sterile technique provide the surgeon with the correct plate 10, matching the template 194.

An alternative template 200 includes a block 202 having a top 204 and bottom 206 surface and including a plurality of holes 208 extending between the top and bottom surfaces 204, 206. A plurality of pins 210 are inserted within the holes 208, one per hole. The pins 210 are longer than the thickness of the block 202, and include ends 212 that are larger than the holes 208 so the pins 210 can slide back and forth within the holes 208 while being held there.

In use, the block 202 is tilted so all the pins 210 slide to one side of the block 202. The template 200 is then brought into proximity with a bone surface that is to be repaired. The ends 212 of the pins 210 contact the bone surface and as the block 202 is brought further forward the pins 210 slide further into the block 202. The block 202 also includes a locking device 214 that when activated locks the pins 210 in place to prevent any further sliding. When the template 200 has been brought close enough to the bone surface to bring all the pins 210 into contact with the bone surface, the locking device 214 is activated, thereby locking the pins 210 in place. When the block 202 is removed from the bone structure, a three-dimensional profile of the bone surface is captured on the end surfaces of the pins 210. The locking device 214 keeps the pins 210 from sliding, so the surgeon has a three-dimensional model to use when pre-shaping plates 10 to be placed onto the bone.

In the preferred embodiment, the block 202 is made from a semi-elastic material, and the locking device 214 is a band or clamp that wraps around the block 202 to squeeze the block 202 when activated. When the locking device 214 is activated, the

-25-

block 202 is squeezed, and the holes 208 are squeezed, thereby restricting movement of the pins 210 within the holes 208.

With reference to figure 11B, the present invention also includes a single step drill and tap bit 216 for simultaneously drilling and tapping holes within the bone structure for fasteners 12 to be threaded into when securing plates 10. The bit 216 will function as both a drill for making the hole and a tap for adding the threads to the hole. Furthermore, the bit 216 includes helical flutes 219 for more efficient evacuation of bone chips from the hole as the hole is drilled and tapped. Prior art drills and taps use axial flutes, which do not work as efficiently. A build up of chips within the hole during the drill/tap process will affect the quality of the threads in the tapped hole. If the tap is not adequate to allow the fastener 12 to thread into the hole, then the hole cannot be used, and another hole must be drilled. Helical flutes and cutting edges 219 will more effectively drill and tap and facilitate the removal of bone material from the hole thereby creating a cleaner hole and resulting in a better thread. It is to be understood that the diameter of the drill/tap 216 could be any size appropriate to accommodate the fasteners 12 for which the hole is being drilled. The bit 216 has drilling thread forms 217 for defining the threads.

The present invention further has a sterilization tray 220 including all components necessary to repair fractured bone by applying bioabsorbable osteosynthesis plates 10. The tray has a top 221 and base 225. The tray 220 includes for example a portable sterile bowl 118 as well as a tool 140 for applying heated fluid to the bioabsorbable plates 10, this is not illustrated, forceps 58 for handling the plates 10, bits 216 for drilling and tapping holes within the bone structure, a number of templates 194 of each size and style for pre-shaping the plates 10, and drivers 24 for inserting and threading the fasteners 12 within the bone structure to secure the plates 10 thereto.

The present invention provides a method of repairing broken bone. The method includes the steps of providing a bioabsorbable osteosynthesis plate 10 having a first thermo-chemical solid state which is rigid, and a second thermo-chemical solid state which is moldable. The plate 10 is then inserted within a source of heated fluid 120 to heat the plate 10 to the moldable thermo-chemical solid state. After the plate 10 is



-26-

heated to the moldable thermo-chemical state, the plate 10 is formed to the desired contour and allowed to cool to the rigid thermo-chemical solid state. The plate 10 is then placed to the bone structure to be repaired and fastened thereto. The method could be modified by including the steps of providing a fastener 12 for attaching the plates 10 to the bone structure, a container 76 for delivering the fasteners 12 to a point of use including a base portion 78 with apertures 104 for holding a plurality of fasteners 12, and a lid portion 84 with at least one opening 106, 108 therein, and a driver 24 for threading the fasteners 12 into the bone structure. Prior to fastening the plate 10 to the bone structure, the lid 84 of the container 76 is rotated until the opening 106 is positioned directly over an aperture 104 with a fastener 12 held therein. The driver 24 is then inserted through the opening 106 in the lid 84 and into the container 76 to engage the fastener 12 held within the aperture 104. The driver 24 is then withdrawn from the container 76 with the fastener 12 held thereto. The driver 24 is then used to apply the fastener 12 to the bone structure by rotating the driver 24 and thereby threading the fastener 12 into the bone structure. Once the fastener 12 is threaded fully into the bone structure the driver 24 is removed from the fastener 12.

The method could be further modified by including the steps of providing a drill bit tool 216 for drilling and tapping a hole for receiving a fastener 12 into the bone structure to be repaired. Prior to threading the fastener 12 to the bone, the drill bit tool 216 is placed to the bone structure and rotated to form a tapped hole in the bone structure prior to applying the plate 10. Once the holes have been drilled and tapped within the bone structure, the plates 10 are applied to the bone structure and fasteners 12 are threaded into the holes using the driver 24.

The method could be further modified by including the steps of providing a template 194 for pre-shaping the bioabsorbable plate 10. Prior to molding the contour of the plate 10, the template 194 is placed to the bone structure and the contour of the underlying bone is molded to the template 194. The template 194 is removed from the bone structure and the heated plate 10 is placed to the template 194 and molded to the contour of the template 194 by submerging them in a water bath or other heat source.

-27-

The method could be further modified, wherein the template 194 includes an identification mark 198 designating the size and shape of the template 194, and the bioabsorbable plate 10 or package includes an identification mark designating the size and shape of the plate 10, and the markings 198, on corresponding templates 194 and plates 10 match. The method further includes the steps of reading the identification mark 198 from the template 194 after the template 194 has been contoured to the bone structure and selecting a plate 10 which has an identification mark that matches the identification mark 198 read from the template 194.

The method could be further modified by including the steps of providing a device 156 for localized heating of the plates 10, inserting the device 156 into a volume of heated fluid 120 to heat the device to a desired temperature, drawing an amount of the heated fluid 120 into the device 156 or holding fluid at the tip of the device 156, bringing the device 156 into close proximity to the plate 10, causing a droplet of the heated fluid 120 to form a thermal conductive bridge between the device 156 and the plate, and molding the plate 10 when locally heated to more closely match the contour of the bone structure to be repaired.

The method could be further modified by including the steps of handling the plates 10 with a handling device 58, wherein the handling device 58 includes a pair of flexible elongated arms 62 in adjacent lengthwise relationship with one another, each arm 62 having a first end 64 and a second end 66, the first ends 64 of the arms 62 being secured to one another, the second ends 66 of the arms 62 are disposed at a distance from one another and include a generally flat protruding portion 60, the protruding portions 60 of each arm 62 being oriented parallel to one another, and grasping the plates 10 with the protruding portions 60.

The invention has been described in an illustrative manner, and it is to be understood that the terminology, which has been used, is intended to be in the nature of words of description rather than of limitation.

Obviously, many modifications and variations of the present invention are possible in light of the above teachings. It is, therefore, to be understood that the invention may be practiced otherwise than as specifically described.

-28-

A preferred embodiment of the present invention has been disclosed, however, an individual of ordinary skill in the art would recognize certain modifications would come within the scope of this invention and thus the following claims should be studied in order to determine the true scope and content of the present invention.

## CLAIMS

What is claimed is:

1. A bioabsorbable plate and fastener comprising;  
at least one fastener having a head portion and a threaded shank, said head  
5 having a top surface and a bottom surface, said shank extending from said bottom  
surface, said bottom surface having a convex shape;  
a plate having a first thermo-chemical solid state in which said plate is rigid;  
said plate further having a second thermo-chemical solid state in which said  
plate is deformable;  
10 said plate further including at least two openings for receiving said fasteners;  
said plate further including a relief area surrounding each of said openings to  
allow said fastener to seat within said bioabsorbable plate such that no part of the  
fastener extends above the surface of said plate when the fastener is applied;  
said relief area is a generally concave surface surrounding each of said openings  
15 to provide a stable mating contact surface for mating with said bottom surface of said  
fastener to reduce tensile stresses in said plate and to allow proper seating of said  
fastener within said relief area.
2. The bioabsorbable plate and fastener of claim 1, wherein said bottom  
20 surface of said fastener and said relief area mate in generally surface to surface contact  
when said fastener is generally perpendicular to one of said openings and line contact  
when said fastener is not generally perpendicular to one of said openings.
3. The bioabsorbable plate and fastener of claim 1, wherein said plate  
25 presents a periphery adapted for repairing bone of varying size and contours.
4. The bioabsorbable plate and fastener of claim 3, wherein said periphery  
can include a variety of shapes.

-30-

5. The bioabsorbable plate and fastener of claim 3, wherein each of said variety of shapes corresponds to a specific color, said bioabsorbable plate being color coded to allow easy identification of the shape and size of the plate.

5 6. The bioabsorbable plate and fastener of claim 1, wherein said plate is identified by an identification mark designating a particular plate.

7. The bioabsorbable plate and fastener of claim 1, wherein said top surface of said  
10 head portion includes at least one recess for insertion of a driver to allow said fastener to be threaded into the bone surface being repaired;

8. The bioabsorbable plate and fastener of claim 7, wherein said fastener comprises one of a plurality of sizes.  
15

9. The bioabsorbable plate and fastener of claim 8, wherein each of said plurality of sizes corresponds to a specific color, said fastener being color coded to allow easy identification of said fastener.

20 10. A fastener for attaching a bioabsorbable plate to bone structure comprising:

a head portion for contacting a bioabsorbable plate to hold the bioabsorbable plate against a bone surface to be repaired;

a shank portion extending from said head portion and including threads for  
25 providing threaded engagement with the bone surface being repaired;

said head portion includes at least two notches, said notches including side walls and a rear wall, said notches are separated about said head portion of said fastener, said notches being sized wherein said notches are adapted to receive features of a driver for driving the fastener into said bone structure, and said side walls provide a contact  
30 surface for the driver to thread said fastener into place.

-31-

11. The fastener as set forth in claim 10, wherein said side walls for each of said notches fall on lines that cross at a point outside the center area of said head portion, thereby concentrating the forces exerted onto said head portion into compressive stresses within said head portion to reduce failure.

5

12. The fastener as set forth in claim 10, wherein said rear wall provides frictional engagement with the driver to removably secure said fastener to the driver.

13. The fastener as set forth in claim 12, wherein said side walls for each of said notches fall on lines that cross at a point outside the center line of said head portion, thereby concentrating the forces exerted onto said head portion into compressive stresses within said head portion to reduce failure.

14. The fastener as set forth in the claim 10, wherein said rear wall includes a protrusion extending outwardly from said rear wall for engagement with a driver to removably secure said fastener to a driver.

15. The fastener as set forth in the claim 14, wherein said side walls for each of said notches fall on lines that cross at a point outside the center line of said head portion, thereby concentrating the forces exerted onto said head portion into compressive stresses within said head portion to reduce failure.

16. A driver for inserting fasteners into bone structure, said driver comprising;

25 an operative end for engagement with a fastener;

a handle portion opposite said operative end for allowing manual manipulation of said driver to insert the fastener; and

a clutch mechanism interconnecting said handle portion and said operative end for limiting the the operation of said driver between direct drive wherein said operative end is operatively fixed to said handle portion and a clutch drive wherein said operative

30

-32-

end is operatively coupled to said amount of torque that can be transferred therebetween said clutch mechanism controlling handle to slip at a predetermined torque;

17. The driver as set forth in claim 16, wherein said handle portion includes  
5 a switch for selectively activating said clutch mechanism.

18. The device of claim 16, wherein said clutch mechanism includes a control ring, a pair of fingers and a blade, said control ring being mounted for movement with respect to said fingers to either engage said fingers and lock said fingers  
10 against said blade for direct drive operation or to disengage said fingers and allow said blade to move with respect to said fingers after a predetermined torque is exceeded.

19. A driver for inserting bioabsorbable fasteners into bone structure, said driver comprising:  
15 a tool holder for receipt of a tool for driving a fastener into the bone structure;  
a grip operatively connected to said tool holder and a torque limiting mechanism operatively connected between said grip and said tool holder, said torque limiting mechanism initially locking said grip with respect to said tool holder to apply a torque through said grip into said tool holder to drive the bioabsorbable fastener into the bone  
20 structure, said torque limiting mechanism unlocking said grip with respect to said tool holder when a predetermined torque is applied to said grip to stop applying a torque through said grip and into said tool holder to prevent damage to said bioabsorbable fastener.

20. The driver of claim 19, wherein said clutch mechanism includes a control ring, a pair of fingers and a blade, said blade is connected to said tool holder, said control ring being mounted for movement with respect to said fingers to either engage said fingers and lock said fingers against said blade for direct drive operation or to disengage said fingers and allow said blade to move with respect to said fingers  
30 after a predetermined torque is exceeded.

-33-

21. A driver for inserting fasteners for bioabsorbable plates, said driver comprising:

an operative end for engagement with a fastener; and

5 a handle portion opposite said operative end for allowing manual manipulation of said driver to insert the fastener;

said operative end including at least two fingers, each of said fingers including side walls and an internal wall, said side walls spaced to fit within notches in a head portion of the fastener.

10 22. The driver as set forth in claim 21, wherein said internal wall of at least one of said fingers frictionally engages the fastener to removably secure the fastener within said fingers of said driver.

15 23. The driver as set forth in claim 21, wherein said operative end is connected to said handle portion through a clutch mechanism for limiting the amount of torque that can be transferred therebetween.

20 24. The driver as set forth in claim 23, wherein said handle portion includes a switch for selectively activating said clutch mechanism.

25 25. The driver as set forth in claim 22, wherein said fingers have a thickness that is less than the depth of the notches on the fastener to allow said fingers to fit completely within the circumference of the head portion of the fastener to prevent interference with the bioabsorbable plate when the fastener is applied using said driver.

26. A hand held device for locally heating a portion of a bioabsorbable plate, said device comprising;

a body portion having a hand held section and a tip section;

30 said body portion defining a thermal mass for retaining heat within said body for transfer to said bioabsorbable plate;



-34-

said tip section holding a fluid for bridging said body portion and said plate to facilitate heat transfer from said body portion to said bioabsorbable plate.

27. The hand held device of claim 26, further including a cavity for retaining  
5 said fluid to said tip.

28. The hand held device of claim 26, wherein said tip has a roughened surface for retaining said fluid to said tip.

10 29. The apparatus as set forth in claim 27, wherein said tip includes a roughened surface surrounding said cavity on said tip to provide additional surface area for holding said fluid.

15 30. An apparatus as set forth in claim 26, wherein said body portion includes a fluid channel, said fluid channel including a first end at said tip and extending to a second end at a position within said body portion thereby creating a passage therebetween, said fluid channel being operable to receive and hold said fluid to be dispensed from said tip.

20 31. The apparatus as set forth in claim 30, wherein said device includes a sleeve extending about said body portion and including at least one hollow compressible bulb extending therefrom defining a cavity between said compressible bulb and said body portion, and said body portion including at least one opening positioned between said cavity and said second end of said fluid passage for allowing  
25 fluid communication between said cavity and said fluid passage.

32. The apparatus as set forth in claim 31, wherein said body portion includes a pair of o-rings for creating a seal between said body portion and said sleeve, said o-rings being spaced along said body portion such that said cavity, said opening  
30 and said second end of said fluid passage are disposed therebetween, whereby said

-35-

cavity, said opening, and said fluid channel define a continuous variable volume chamber with only one aperture, said aperture being the first end of said fluid channel.

33. The apparatus as set forth in claim 32, wherein said fluid channel  
5 includes a branch channel extending from said fluid channel to a point adjacent said tip for allowing suction between said fluid channel and said fluid at the tip to be interrupted.

34. The apparatus as set forth in claim 30, wherein said body portion is  
10 made from a non-corrosive metal and said sleeve is made from an autoclavable insulating material.

35. The apparatus as set forth in claim 30, wherein said body portion  
15 includes a heating device for keeping the body and fluid that is within said fluid channel at a pre-determined temperature until the heated fluid is dispensed.

36. A portable bowl for containing a volume of heated fluid, said bowl comprising;

a base;  
20 a reservoir for holding a volume of heated fluid;  
a heating element associated with said base for heating the fluid within said reservoir;  
a controller for controlling said heating element and maintaining the  
temperature of the heated fluid within said reservoir, said controller being located at a  
25 remote location from said bowl.

37. The portable bowl as set forth in claim 36, including a retractable cover  
assembly for keeping the heated fluid within the bowl and to assist in keeping the fluid  
at a pre-determined temperature.

30

-36-

38. The portable bowl as set forth in claim 36, wherein said cover assembly includes features for holding tools to allow the tools to extend into the heated fluid within said portable bowl when placed therein.

5           39. The portable bowl as set forth in claim 37, wherein said cover assembly includes a contoured lip for receiving an apparatus while fluid heats the apparatus for applying the heated fluid to a localized portion of a bioabsorbable plate to allow the apparatus to rest within said bowl when not in use.

10           40. A container for holding and delivering fasteners, said container comprising;

          a tray for holding at least one fastener;

          a lid mounted rotatably onto said tray to keep the fasteners in said tray, and including at least one opening to allow the fasteners to be removed from said tray.

15

          41. The container as set forth in claim 39, wherein said tray includes a plurality of apertures spaced radially about said tray, each said aperture adapted to removably hold one fastener in vertical orientation.

          42. The container as set forth in claim 40, wherein said lid includes a first  
20 opening and a second opening spaced to fall directly above said apertures when said lid is rotated and adapted to allow an operable end of a driver to be inserted into said tray to engage and remove the fastener from one of said apertures.

          43. The container as set forth in claim 41, wherein said tray includes a  
25 plurality of detents spaced radially around the outer circumference of said tray, said lid including at least one indent for receiving one of said plurality of detents, whereby said lid can be rotated between a plurality of radial positions.

          44. The container as set forth in claim 42, wherein said detents are spaced  
30 such that as said lid rotates, said pair of openings within said lid move from a first

-37-

position where said first opening is directly over one of said apertures and said second opening is positioned between two of said apertures, a second position where both said first and second openings are located directly above one of said apertures, and a third position where both said first and second openings are located between two of said apertures.

45. The container as set forth in claim 39, wherein said container is color coded to contrast the color of the fasteners therein to allow the fasteners to stand out visibly when held within said container.

10

46. A device for handling bioabsorbable osteosynthesis plates, said device comprising;

a pair of flexible elongated arms in adjacent lengthwise relationship with one another, each member having a first end and a second end, said first ends of said arms being secured to one another, wherein said second ends of said arms are disposed at a distance from one another;

a generally flat protruding portion attached to said second end of each of said arms, said protruding portion of each arm being oriented parallel to one another.

47. The device as set forth in claim 46, wherein each of said arms include a handle portion extending from said first end providing a gripping surface for holding said device and for squeezing said arms toward one another to bring said protruding portions into contact with one another.

48. The device as set forth in claim 46, wherein each of said arms includes a neck portion extending from said handle portion, said protruding portions being attached to said neck portions at a distal end opposite said handle portion.

49. The device as set forth in claim 47, wherein said neck portion extends from said handle portion at an angle which is less than 180 degrees.

30

-38-

50. A template for capturing the contour of a bone structure to be repaired to allow a bioabsorbable osteosynthesis plate to be pre-contoured,

wherein said template presents a two-dimensional shape that roughly corresponds to the two-dimensional shape of the plate that is to be contoured;

5 said template includes an identification mark designating the size and shape of said template, said identification mark matching an identification mark placed on corresponding plates.

51. The template as set forth in claim 50, including indents along the edges  
10 of said template corresponding to the locations of fastener openings within the plate.

52. The template as set forth in claim 50, wherein said template is made from a malleable material which is autoclavable to allow said template to be sterilized and re-used.

15

53. A template for capturing the contour of a bone structure to be repaired to allow a bioabsorbable plate to be pre-contoured comprising;

a block having a top and bottom surface, and including a plurality of through holes extending between said top and bottom surfaces;

20 a plurality of pins having a first end and a second end, one of said plurality of pins being disposed within each of said through holes and including a head portion on each of said first and second ends, said head portion being sized larger than said through holes to retain said pins within said block, said pins having a length that is greater than the thickness of said block to allow said pins to slide within said through holes;

25 a locking device for locking said pins within said through holes to prevent said pins from sliding therein.

54. The alternative template as set forth in claim 53, wherein said block is formed from a compressible material, and said locking device is a clamping mechanism

-39-

operative to squeeze said block to compress said through holes to prevent said pins from sliding therein.

55. A drill bit tool for simultaneously drilling and tapping a hole within a  
5 bone structure to be repaired for receiving a fastener to attach a bioabsorbable plate to the bone structure;

tool includes helical cutting flutes to facilitate efficient removal of bone chips when said drill bit tool is being used.

10 56. A kit for delivering items related to surgically repairing a bone structure using

bioabsorbable plates, said kit comprising:

a plurality of various shapes and sizes of bioabsorbable plates;

15 a plurality of fasteners for attaching said plates to a bone structure to be repaired;

a portable bowl for containing and heating a volume of fluid for heating said bioabsorbable plates;

an apparatus for heating a localized portion of said bioabsorbable plate;

a device for handling said bioabsorbable plates;

20 a drill bit tool for simultaneously drilling and tapping holes within the bone structure for application of said bioabsorbable plates;

a driver for retrieving said fasteners from said containers and threading said fasteners into the bone structure to secure said bioabsorbable plates thereto.

25 57. A method of repairing broken bone including the steps of;

providing a bioabsorbable plate having a first thermo-chemical solid state which is rigid, and a second thermo-chemical solid state which is moldable;

inserting the plate within a source of heated fluid to heat the plate to the moldable thermo-chemical solid state;

30 forming the plate to a desired contour;

-40-

allowing the plate to cool to the rigid thermo-chemical solid state;

placing the plate to the bone structure to be repaired; and

fastening the plate to the bone structure;

5 providing a fastener for attaching the plates to the bone structure, a container for delivering the fasteners to a point of use including a base portion with apertures for holding a plurality of fasteners, and a lid portion with at least one opening therein, and a driver for threading the fasteners into the bone structure;

rotating the lid of the container until the opening is positioned directly over an aperture with a fastener held therein;

10 inserting the driver through the opening in the lid and into the container to engage the fastener held within the aperture;

withdrawing the driver from the container with the fastener held thereto;

applying the fastener to the bone structure and threading the fastener into the bone structure by rotating the driver; and

15 removing the driver from the fastener after the fastener has been threaded into the bone structure.

58. A method of repairing broken bone including the steps of:

20 providing a bioabsorbable plate having a first thermo-chemical solid state which is rigid, and a second thermo-chemical solid state which is moldable;

inserting the plate within a source of heated fluid to heat the plate to the moldable thermo-chemical solid state;

forming the plate to a desired contour;

allowing the plate to cool to the rigid thermo-chemical solid state;

25 placing the plate to the bone structure to be repaired; and

fastening the plate to the bone structure;

providing a drill bit tool for drilling and tapping a hole for receiving a fastener into the bone structure to be repaired; and

-41-

placing the drill bit tool to the bone structure and forming a tapped hole in the bone structure prior to applying the plate, applying the plate to the bone structure and driving the fastener into the bone by using the driver.

- 5           59.    A method of repairing broken bone including the steps of:  
              providing a template for pre-shaping a bioabsorbable plate;  
              placing the template to the bone structure and molding the template to the  
              contour of the bone structure;  
              removing the template from the bone structure; and  
10           placing the heated plate to the template and molding the plate to the contour of  
              the template.

60.    A method of repairing broken bone including the steps of:  
              providing a bioabsorbable plate having a first thermo-chemical solid state which  
15           is rigid, and a second thermo-chemical solid state which is moldable;  
              inserting the plate within a source of heated fluid to heat the plate to the  
              moldable thermo-chemical solid state;  
              forming the plate to a desired contour;  
              allowing the plate to cool to the rigid thermo-chemical solid state;  
20           placing the plate to the bone structure to be repaired; and  
              fastening the plate to the bone structure;  
              providing said template with an identification mark designating the size and  
              shape of the template, and providing the bioabsorbable plate with an identification mark  
              designating the size and shape of the plate, and the markings on corresponding  
25           templates and plates match, the method further including the steps of:  
              reading the identification mark from the template after the template has been  
              contoured to the bone structure; and  
              selecting a plate which has an identification mark that matches the identification  
              mark read from the template.

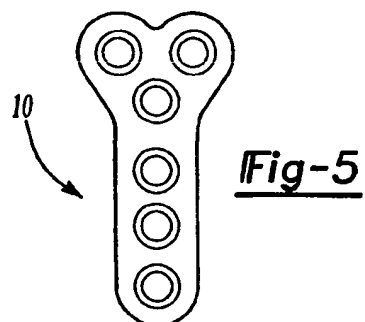
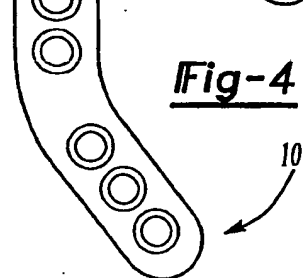
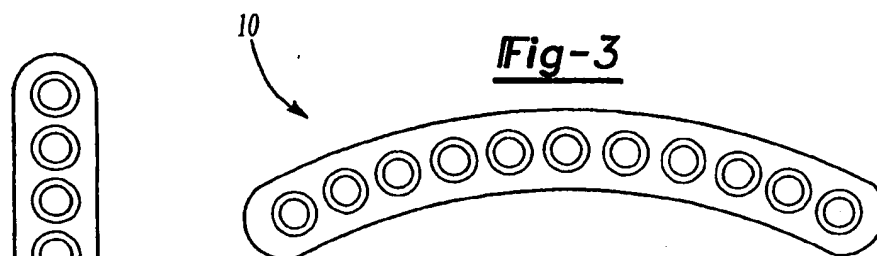
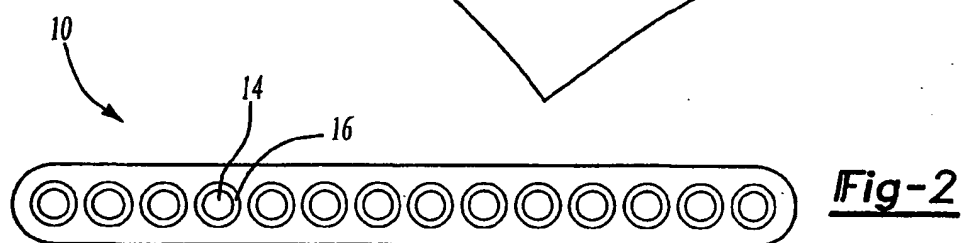
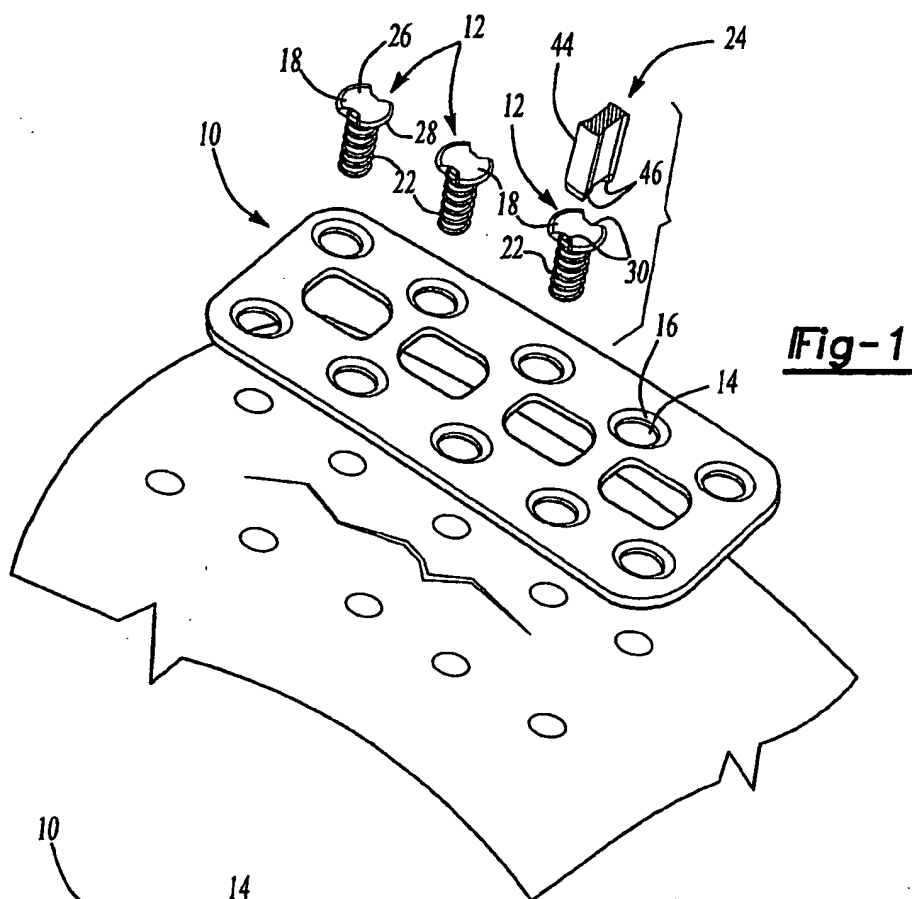
30

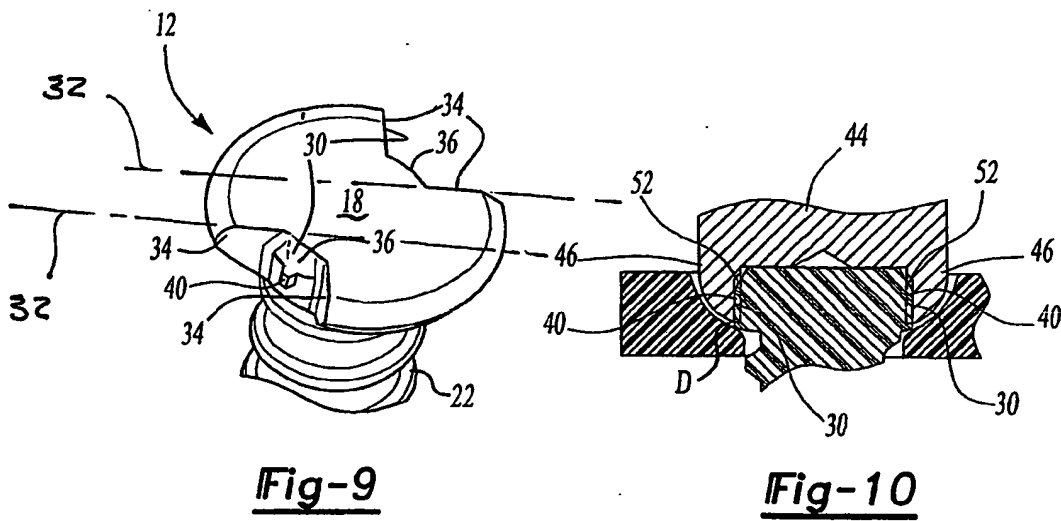
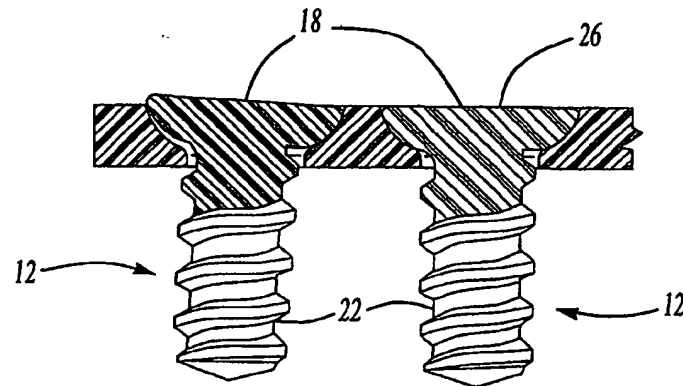
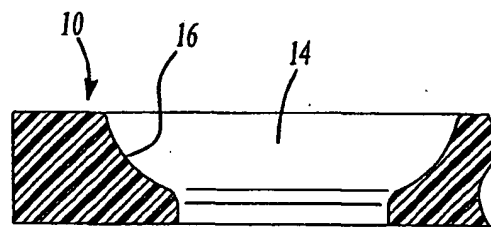
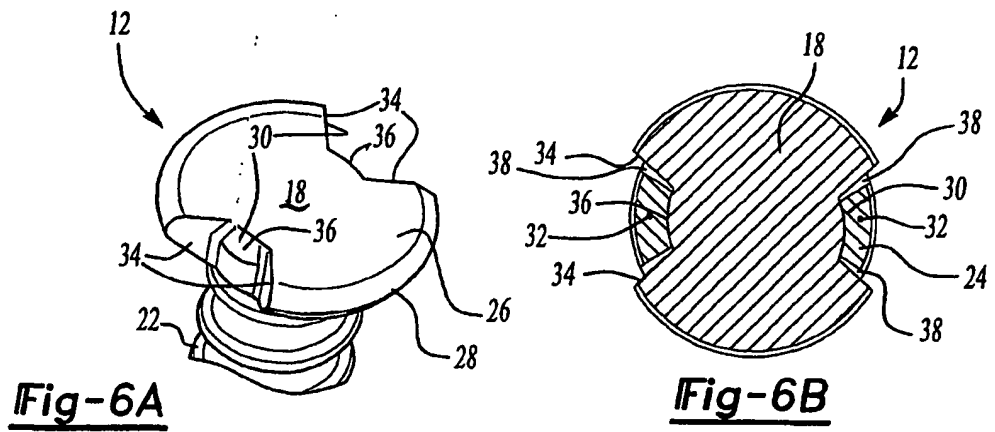


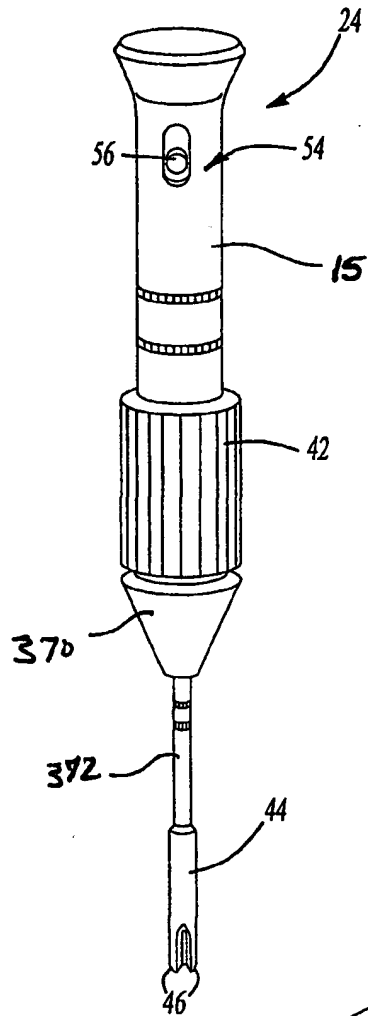
-42-

61. A method of repairing broken bone comprising:  
providing a device for localized heating of the plates;  
inserting the device into a volume of heated fluid;  
bringing the device into close proximity to the plate;  
5 heating the localized portion of the plate; and  
molding the plate to more closely match the contour of the bone structure to be repaired.

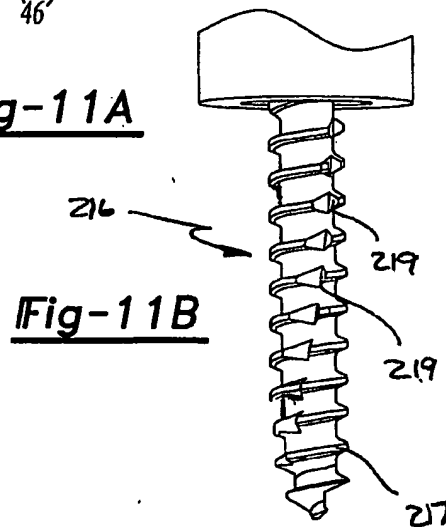
62 A method of repairing broken bone including the steps of:  
10 providing a bioabsorbable plate having a first thermo-chemical solid state which is rigid, and a second thermo-chemical solid state which is moldable;  
inserting the plate within a source of heated fluid to heat the plate to the moldable thermo-chemical solid state;  
forming the plate to a desired contour;  
15 allowing the plate to cool to the rigid thermo-chemical solid state;  
placing the plate to the bone structure to be repaired; and  
fastening the plate to the bone structure;  
handling the plates with a handling device, wherein the handling device includes a pair of flexible elongated arms in adjacent lengthwise relationship with one another,  
20 each arm having a first end and a second end, the first ends of the arms being secured to one another, the second ends of the arms are disposed at a distance from one another and include a generally flat protruding portion, the protruding portions of each arm being oriented parallel to one another; and grasping the plates with the protruding portions.



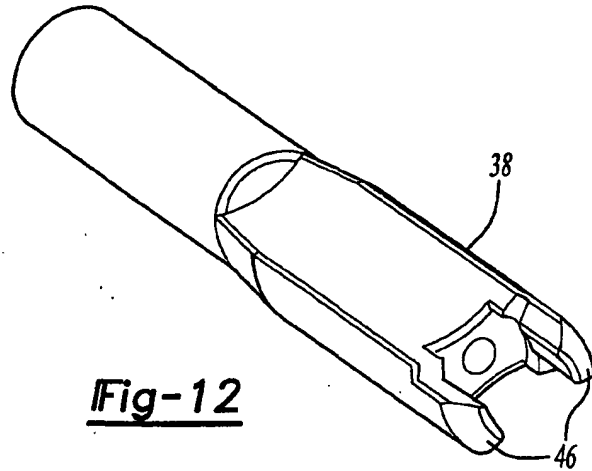




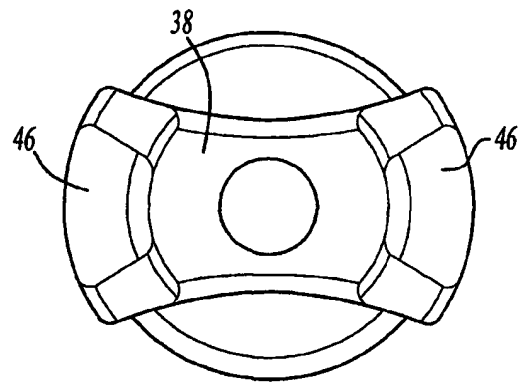
**Fig-11A**



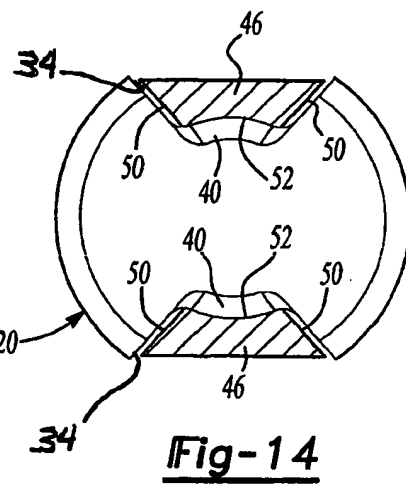
**Fig-11B**



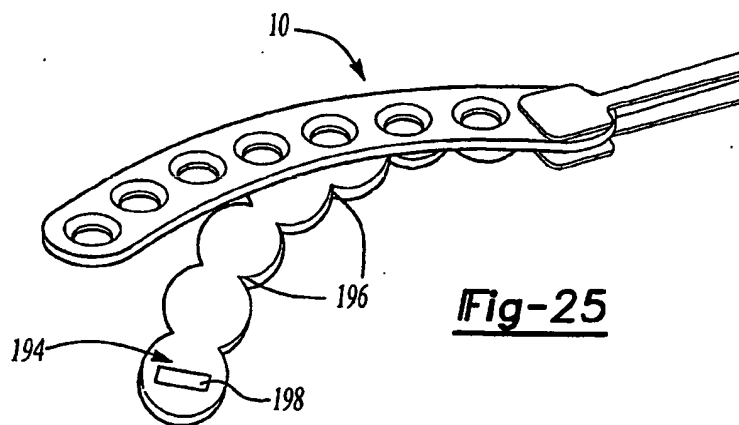
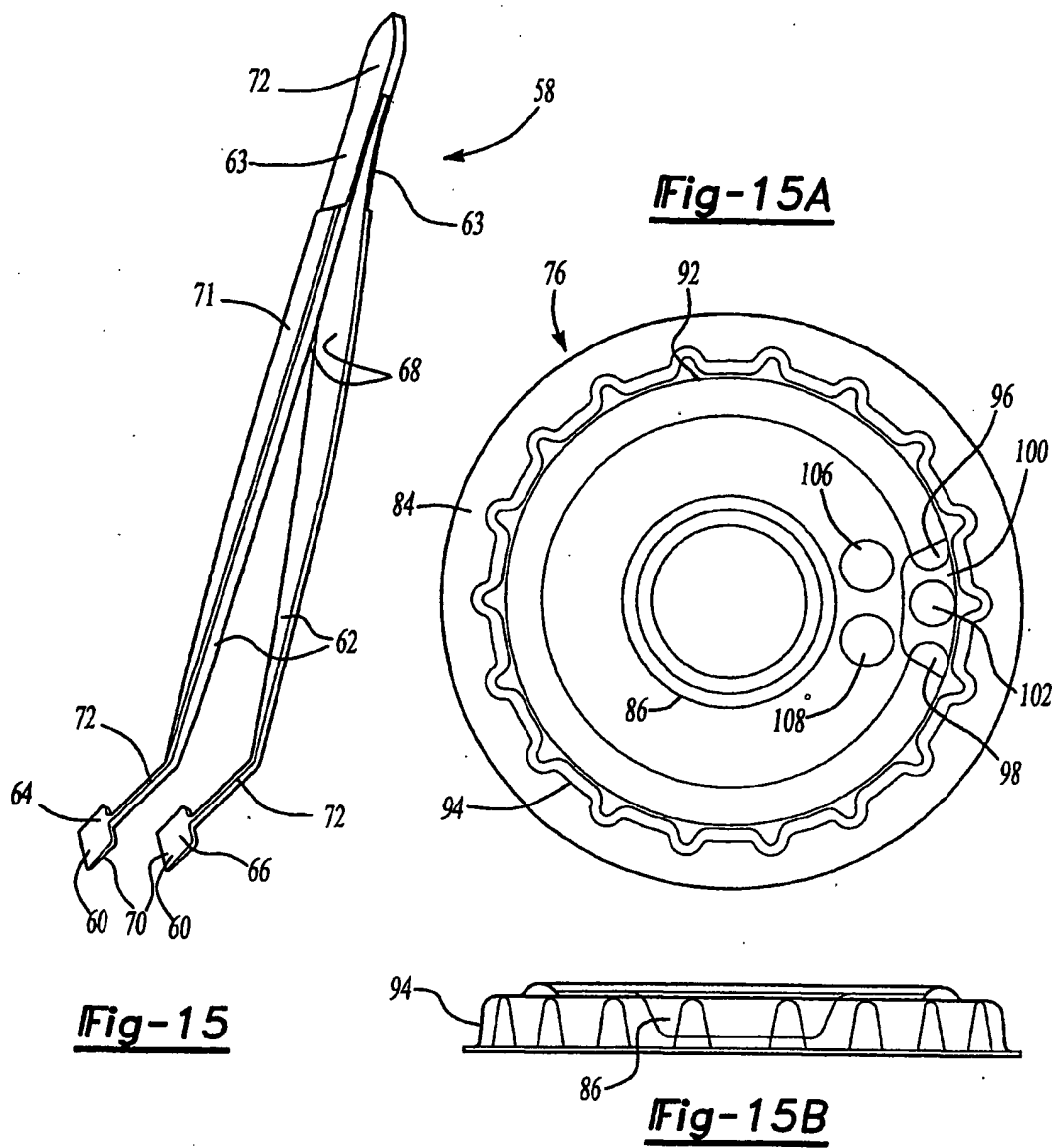
**Fig-12**

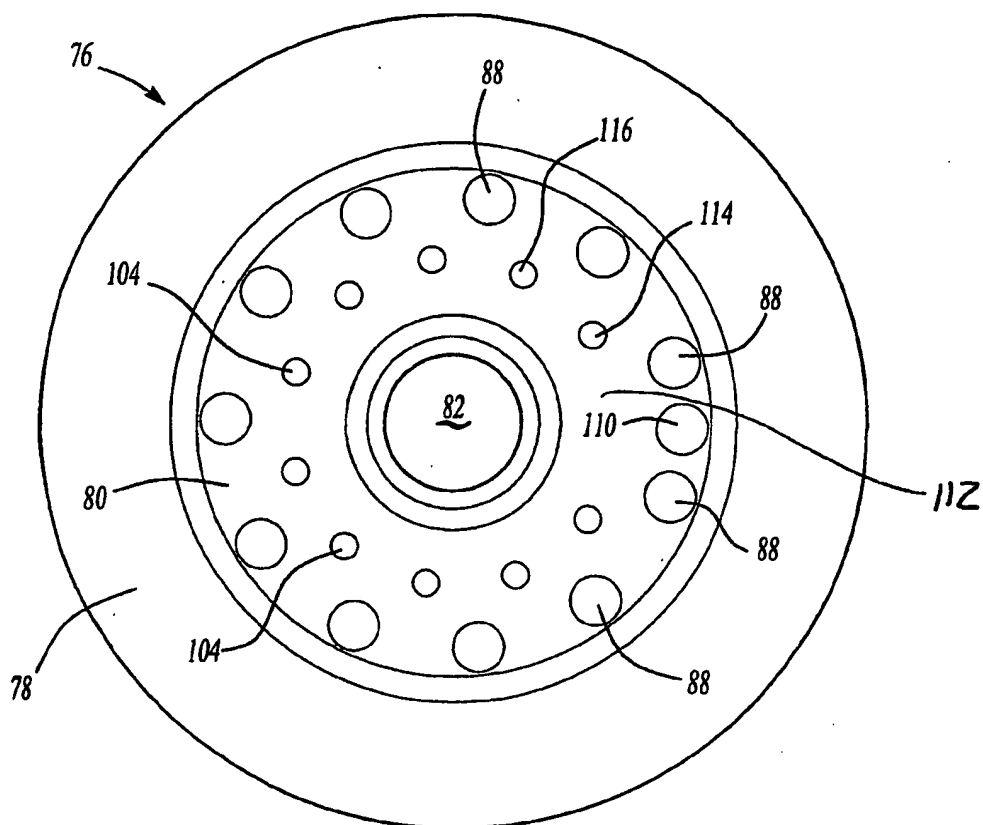


**Fig-13**

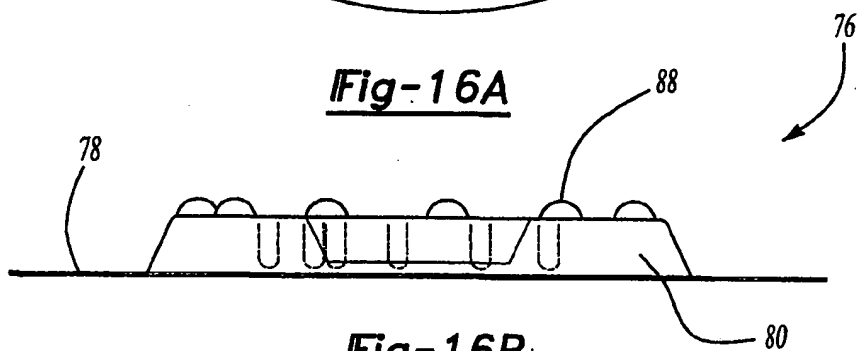


**Fig-14**

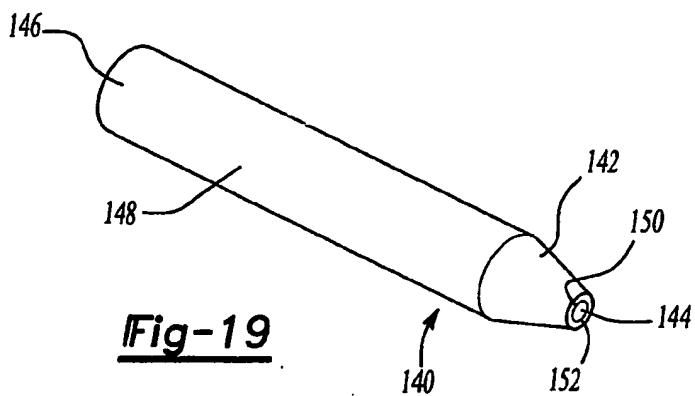




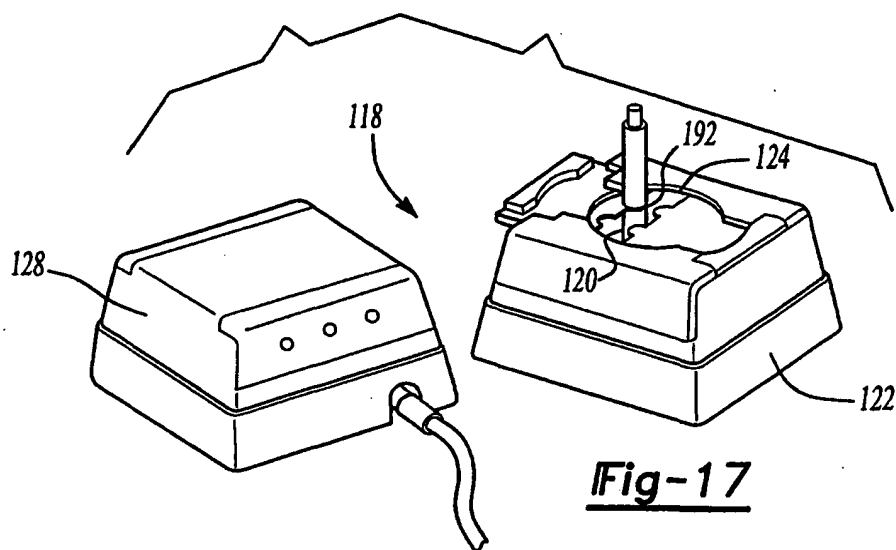
**Fig-16A**



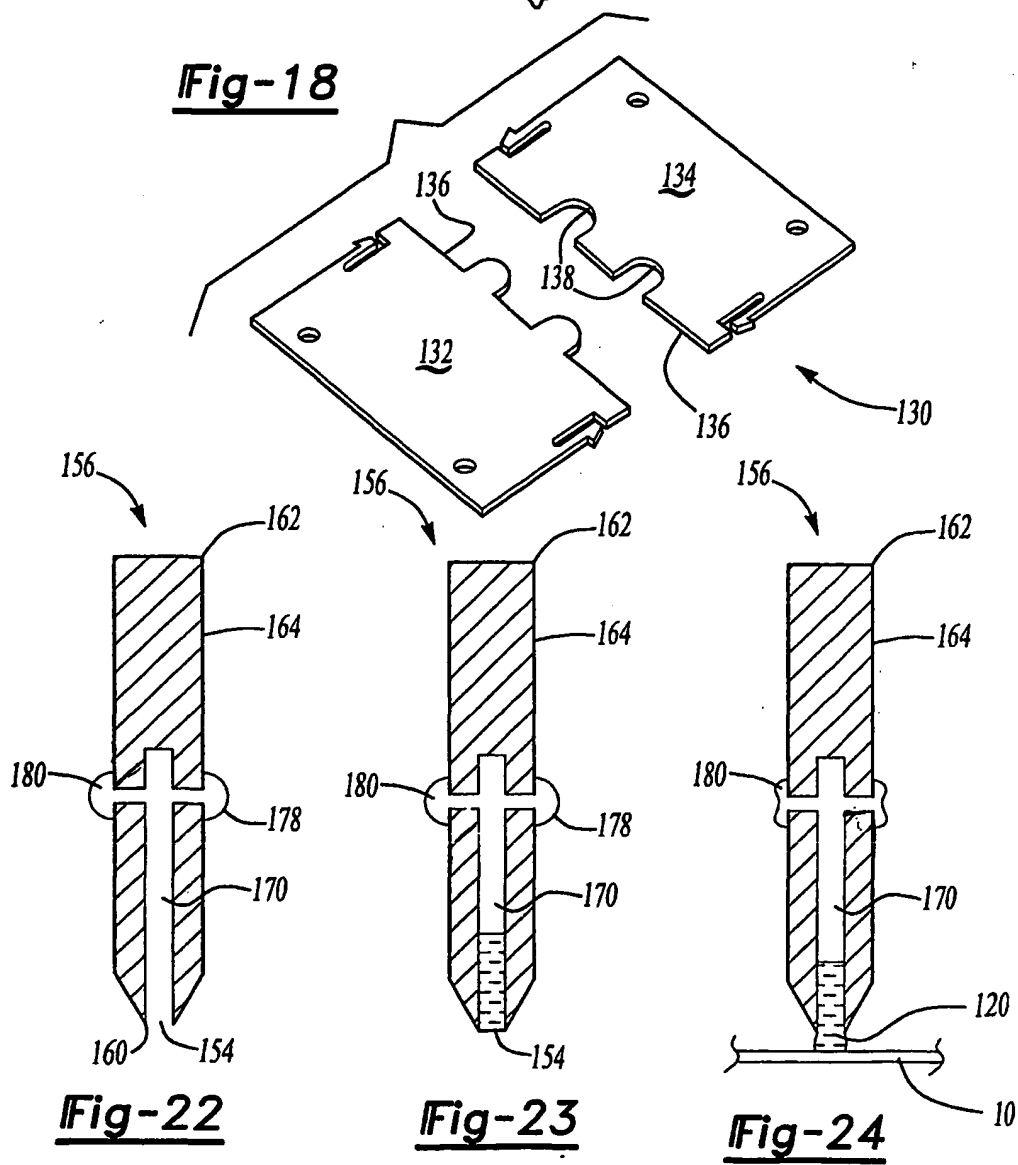
**Fig-16B**

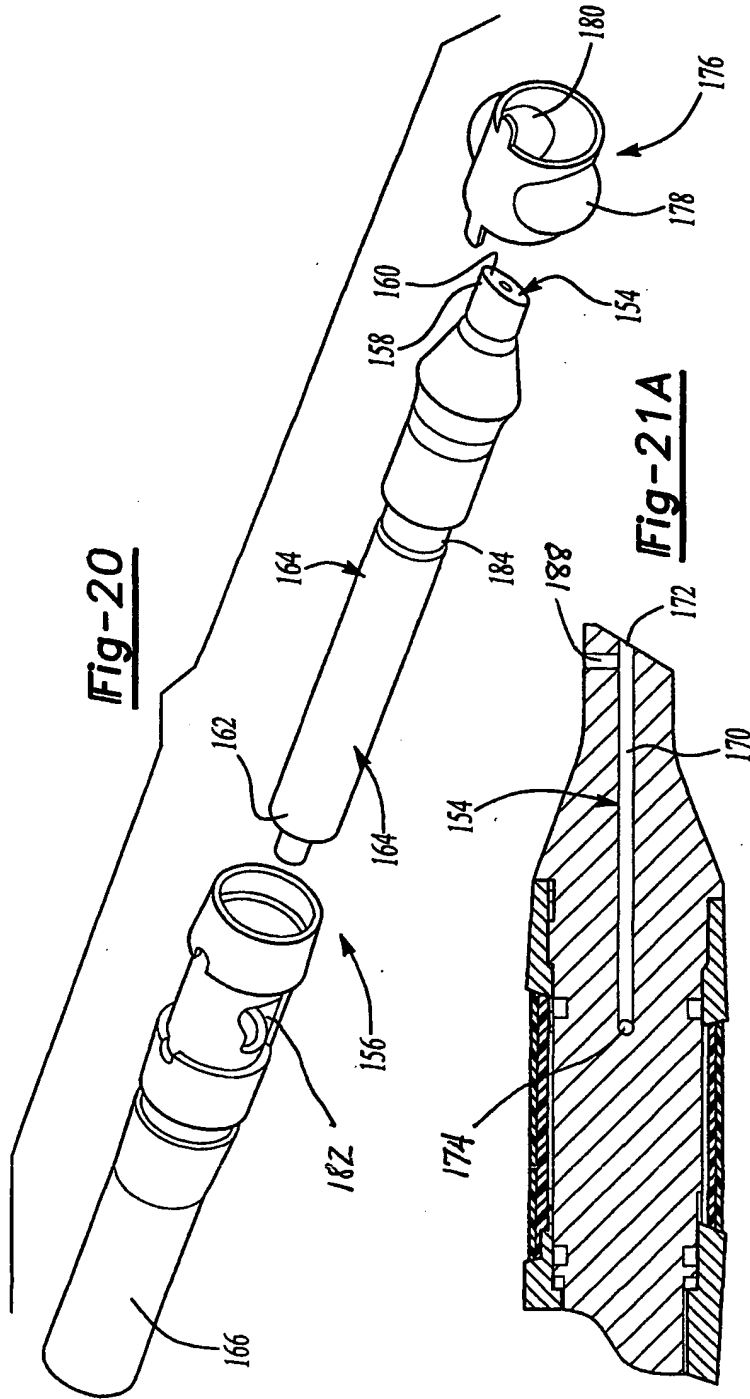


**Fig-19**

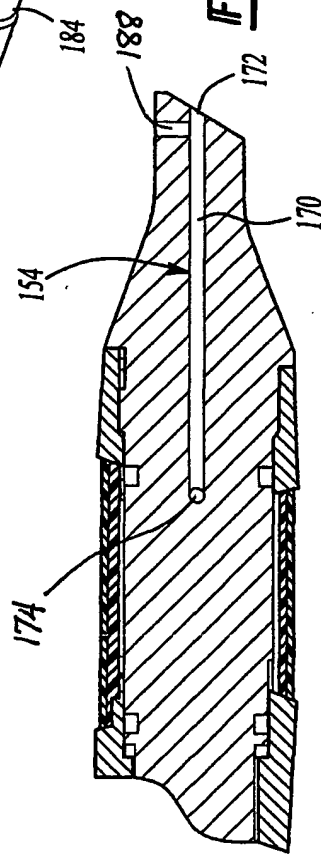


**Fig-18**

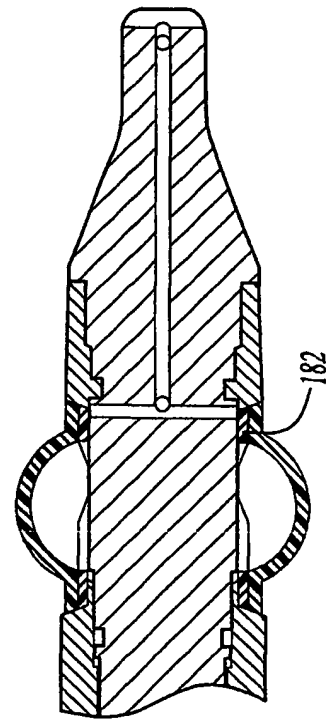




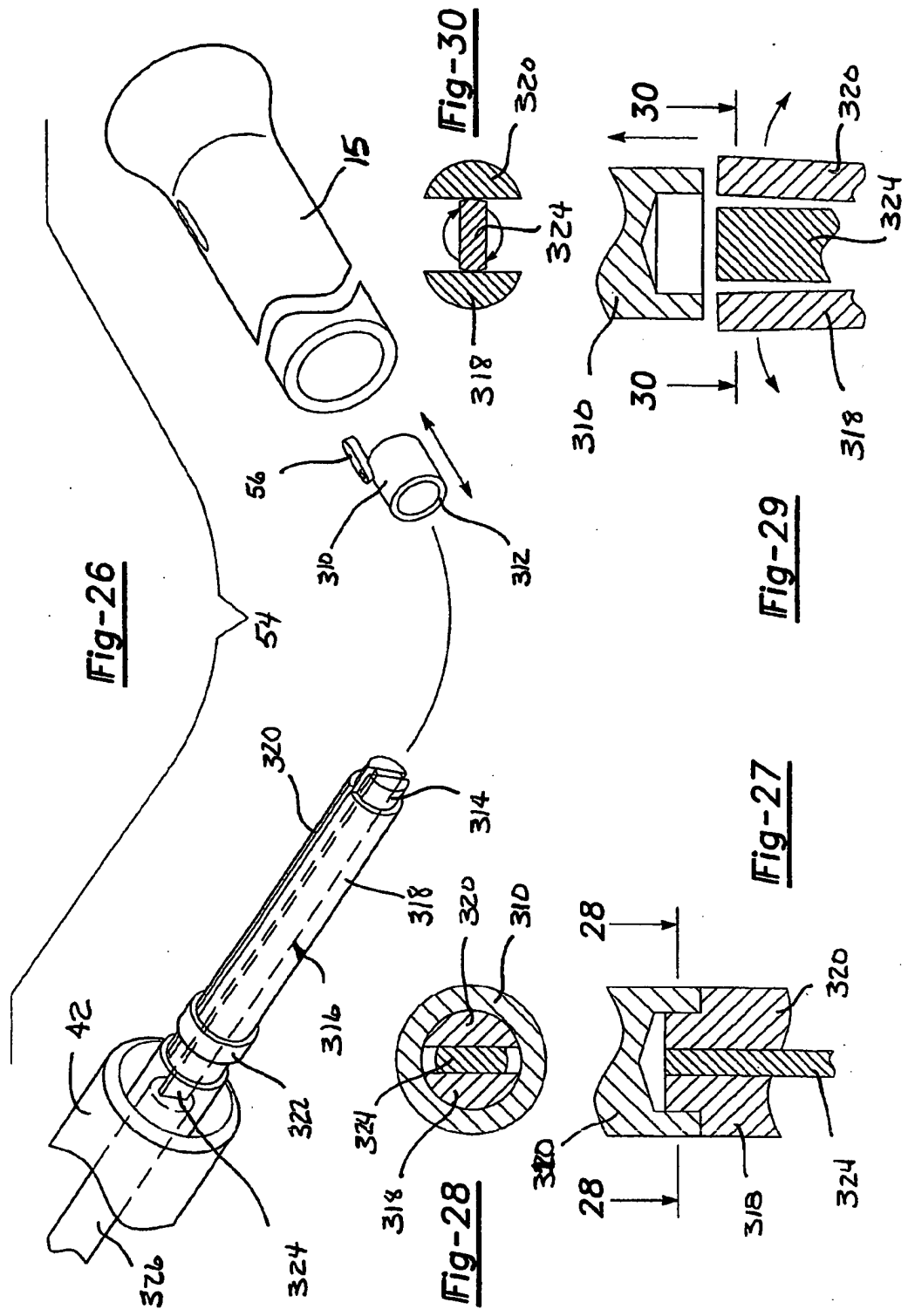
**Fig-21A**

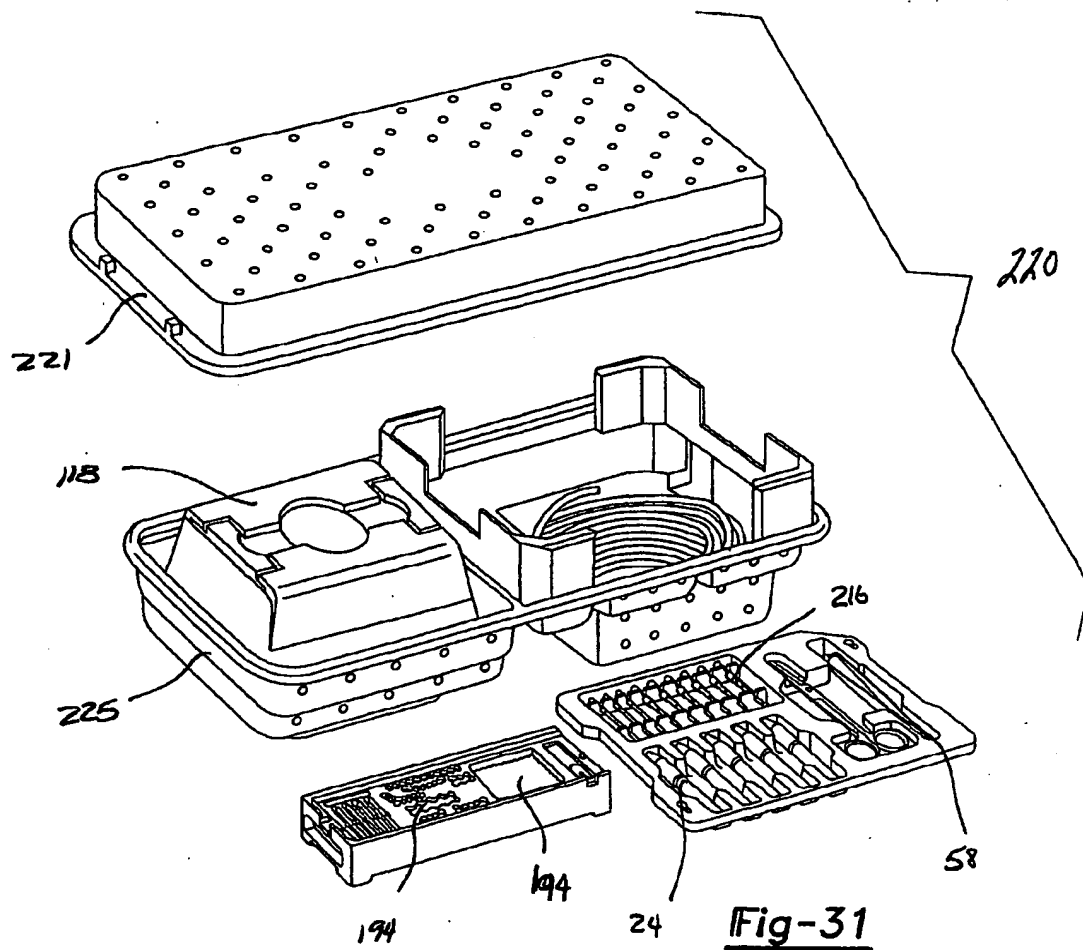


**Fig-21B**









**Fig-31**

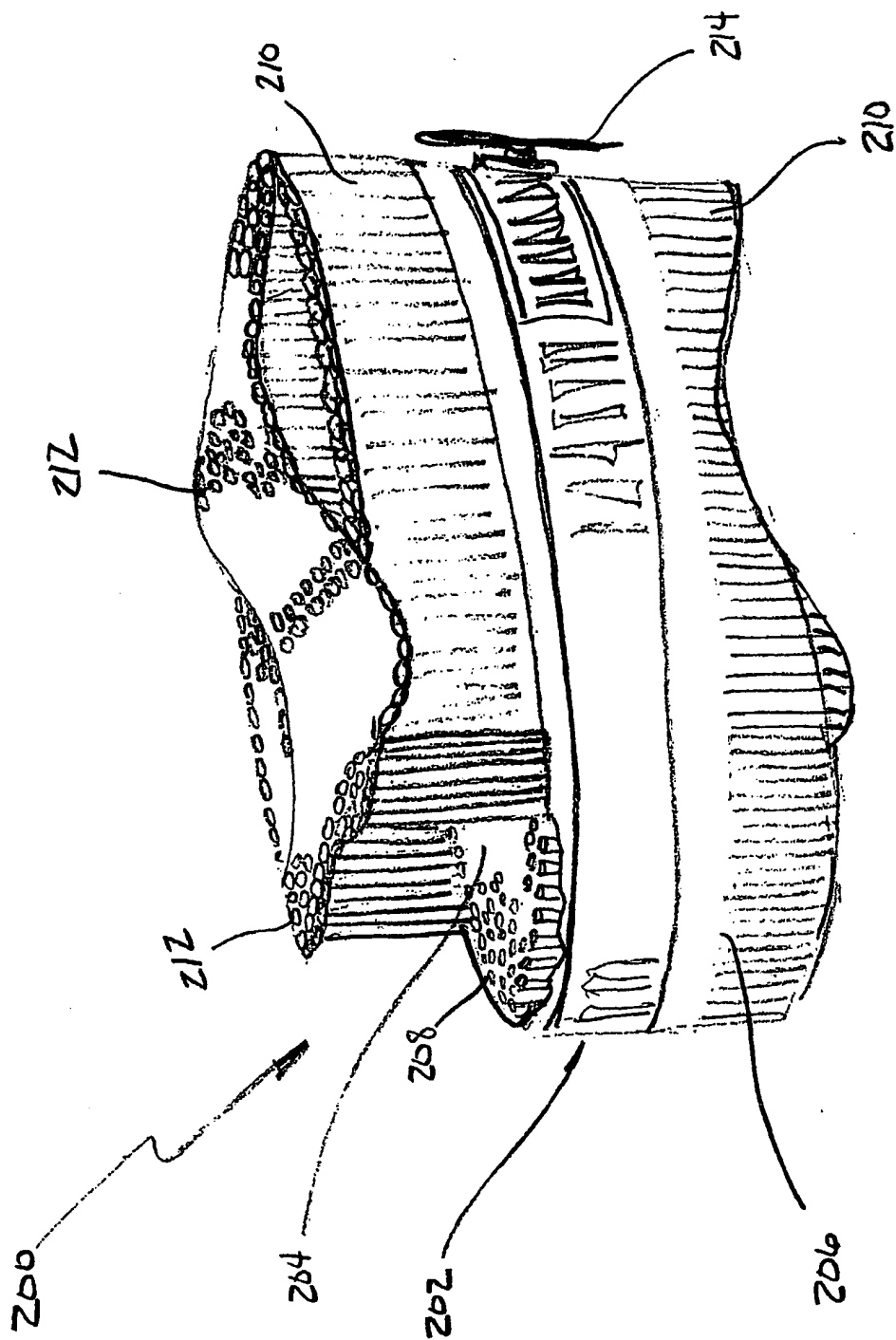


Fig 32

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☒ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**